STATE OF DELAWARE
SINGLE POINT OF CONTACT – SPOC
INTERGOVERNMENTAL REVIEW OF FEDERAL PROGRAMS
Office of Management and Budget
Haslet Building, 3rd Floor, Dover, Delaware 19901
(302) 739-4206

1. STATE APPLICATION IDENTIFIER: 11-06-06-01

2. Applicant Project Title: Motor Carrier Safety Assistance Program FY 2011

3. Applicant Department: Dept. of Safety & Homeland Security

4. Applicant Division/APU: Delaware State Police

5. Applicant Address: P.O. Box 430, Dover, Delaware 19903

6. Contact Person: Mark Dijiacomo - Shirley Killen

7. Contact Person's Phone Number: 302-378-5230

8. Signature of Secretary or Agency Head (for state agencies) or Chief Administrator (for all other applicants)

9. Federal Grantor Department: Department of Transportation

10. Federal Sub-Agency: PRISM

11. Federal Contact Person: Tom Lawler

12. Phone Number: (202) 366-3866

13. Address: 300 South New Street, Suite 1105, Dover, DE 19904-6726

14. Federal Program Title:

15. FEDERAL CATALOG NO: (CFDA)

16. Project Description:

Delaware State Police Motor Carrier Safety Assistance Program (MCSAP) is applying for PRISM grant funds to purchase license plate readers to scan the license plates of commercial vehicles on Delaware highways.

17. Will funds be utilized for any technology initiatives? X Yes  No  If so, Business Case Number and brief project summary:

2011719_01_01  Provide ALPR cameras, fixed and portable (mobile mounted) to assist in detecting "out of service" commercial vehicles operating on DE highways.

18. Measurable Objectives:

a. What were last year's objectives? This is first year De State Police has applied for this grant.

b. Were these objectives met? (If not, please explain why)

c. What are this year's objectives?

(If more space is needed, please attach a separate sheet of paper)
19. Grant Period:
From: 02/01/2011
To: 09/30/2012

20. How many years has this project been funded?
   0

21. If the project was funded last year, how much federal money was awarded?

22. Source of funding for this application:
   a. Federal grant
      $250,000.00
   b. Other federal funds
      (Specify source of funding)
   c. Required state contribution
      (Specify source of funding)
   d. Discretionary state contribution
      (Specify source of funding)
   e. Required local contribution
      (Specify source of funding)
   f. Other non-federal funds
      (Specify source of funding)
      TOTAL

23. Budget by cost category and source:

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<thead>
<tr>
<th></th>
<th>Federal Funds</th>
<th>State Funds</th>
<th>Other Funds</th>
<th>Total Funds</th>
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</thead>
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<td>Salaries &amp; Fringe Benefits</td>
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<td>Travel</td>
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<td>Supplies &amp; Materials</td>
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24. How many positions are required for the project? (Exclude casual/seasonal employees)

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<thead>
<tr>
<th>Breakdown of position(s)</th>
<th>Authorized in State Budget</th>
<th>New Positions Required</th>
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<tr>
<td>Paid for out of federal funds</td>
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<tr>
<td>Paid for out of General Funds</td>
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<tr>
<td>TOTAL</td>
<td></td>
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</tbody>
</table>

25. PLEASE NOTE: On a separate piece of paper, please give position number, grade, yearly salary and percent of funding (federal, state, local, other) and the full-time equivalent for all positions required. Please identify the new positions by placing an asterisk before the position title. If this grant funds positions within other departments, divisions and/or offices, please list them. If a position has been reallocated to or from another grant please indicate the grant source.
TO: Secretary Lewis Schiliro
FROM: Irene E. McDonald
RE: MCSAP PRISM Grant
DATE: 08/08/2011

Recommendation:
Approve/Disapprove: [Signature] Date: 08-08-11

Explanation/Comments: Delaware State Police Motor Carrier Safety Assistance Program (MCSAP) is applying for Performance and Registration Information Systems Management (PRISM) funds. Funds will be used to purchase license plate readers to scan license plates of commercial vehicles on Delaware highways.

Approve/Disapprove: [Signature] Date: 8/18/11

Explanation/Comments:

Approve/Disapprove: [Signature] Date: 8/12/11

Explanation/Comments:

Approved/Disapproved: [Signature] Date: 9-24-11

"To Promote and Protect the Safety of People and Property in Delaware"
TO: Secretary Lewis D. Schiliro  
FROM: Colonel Robert M. Coupe  
RE: MCSAP Grant  
DATE: February 2, 2011

Recommendation:  

Accept/Disapprove: Major Nathaniel McQueen  
Name, Title:  
Date: 02/02/2011

Explanation/Comments: _____________________
________________________________________________________________
_________________________________________________________________
_________________________________________________________________
_________________________________________________________________

Accept/Disapprove: Colonel Robert M. Coupe  
Name, Title:  
Date: 02/09/11

Explanation/Comments:  
________________________________________________________________
_________________________________________________________________
_________________________________________________________________
_________________________________________________________________

Accept/Disapprove:  
Name, Title:  
Date: __________________

Explanation/Comments: _____________________
________________________________________________________________
_________________________________________________________________
_________________________________________________________________

Approved/Disapproved:  
Lewis D. Schiliro, Cabinet Secretary  
Date: 02-16-11

"To Promote and Protect the Safety of People and Property in Delaware"
DELAWARE STATE POLICE
Motor Carrier Safety Assistance Program
P.O. Box 430
Dover, Delaware 19903

January 17, 2011

The Honorable Lewis D. Schiliro, Secretary
Delaware Department of Safety and Homeland Security
Public Safety Building
303 Transportation Circle
P.O. Box 818
Dover, Delaware 19903

Dear Secretary Schiliro:

The Delaware State Police Motor Carrier Safety Assistance Program (MCSAP) is applying for PRISM grant funds to purchase license plate readers to scan the license plates of commercial vehicles on Delaware highways. With the implementation of Federal Motor Carrier’s Safety Administration’s Comprehensive Safety Analysis 2010, it is anticipated that commercial carriers will undergo an increased number of focused safety audits resulting in an increase in the number of carriers being placed out of service for safety issues. We intend to deploy the license plate readers on MCSAP vehicles to detect these out of service carries roadside.

This grant is fully funded, requiring no state matching funds. I am requesting your signature on the attached pages as Agency Head for the Department of Safety and Homeland Security. If you have any questions, please contact me at (302) 378-5230.

Sincerely,

Sergeant Philip N. Strohm
Commercial Motor Vehicle Administrator
Enforcement Unit

Attachment
INTRODUCTION

The Delaware State Police is the primary agency responsible for enforcing the Federal Motor Carrier Safety Regulations and laws pertaining to the regulation of all Commercial Motor vehicles and large trucks. The unit’s goal is to reduce the number of crashes and fatalities involving large trucks and commercial motor vehicles. This is accomplished in large part by deploying MCSAP inspectors in identified high crash corridors and conducting strict enforcement of State and Federal laws combined with an inspection.

The Delaware State Police Commercial Vehicle Enforcement Unit, CVEU, is required to report information to the Federal Motor Carrier Safety Administration regarding enforcement of FMSCA regulations and Commercial Motor vehicle inspections. The Delaware State Police is constantly looking for ways to integrate technology into its Motor Carrier Safety Assistance Program to improve effectiveness and efficiency accomplishing its goals and objectives.

QUALITY IMPROVEMENTS

The Delaware State Police is committed to a partnership with the Federal Motor Carrier Safety Administration, FMCSA, in accomplishing goals of reducing the number of crashes and fatalities involving large trucks and Commercial Motor vehicles. Delaware has implemented PRISM and follows PRISM Law Enforcements requirements. The state is looking to expand its PRISM capabilities. Currently the members of Delaware’s Commercial Vehicle Enforcement Unit have been trained and routinely search PRISM data bases through Query Central and NLETS to identify vehicles and drivers that are operating under a Federal Out-Of-Service order while conducting roadside inspections. Through the incorporation of License Plate Readers, LPR’s, the state will improve the identification of vehicles operating both under an Out-Of-Service order and vehicles targeted for inspection by FMCSA, by searching license plates read by the readers and comparing them to the PRISM targeted vehicle files.

OBJECTIVES

The state of Delaware is requesting funding for technology, including necessary hardware and software needed to implement the use of three license plate readers into its motor carrier program.
1. With the use of license plate readers the State Police Commercial Vehicle Enforcement officers will primarily target large trucks and commercial motor vehicles that are violating federal out-of-service orders and PRISM targeted vehicles for inspection.

2. With the use of license plate readers the officers will target large trucks and commercial motor vehicles that are violating registration and insurance laws.

3. With the use of license plate readers, officers will be able to detect large trucks and commercial vehicles that have been listed in the stolen motor vehicle files.

4. With the use of license plate readers officers will be able to identify owner / operators of large trucks and commercial vehicles that are listed in the PRISM database as out-of-service and violating driver license laws.

PROGRAM STRATEGY

Secure the appropriate funding required to purchase three license plate readers, related hardware and software, and maintenance contracts. The three locations described below reflect three high truck volume areas within the state.

1. As a pilot phase, Delaware intends to implement one portable License Plate Reader (LPR) on a State Police MCSAP vehicle car to electronically screen commercial vehicle's license plates, which have been identified as "Targeted" or have Federal or State "Out of Service Order" status. This LPR, related software and hardware would create a mobile LPR system. Primarily, this vehicle would be incorporated into the MCSAP program and deployed along the I-95 corridor, but would be capable of deployments statewide as needed. Working along the interstate, commercial vehicles will be scanned and compared to vehicles that are listed in the PRISM database as targeted for inspection and violating out-of-service orders.

2. After the success of the pilot phase, the state intends to install fixed LPR and USDOT Number Reader technology to screen commercial vehicles and motor carriers credentials and status at a Virtual Weigh Station, VWS. The area of State Route 1, near Smyrna, Delaware, has been identified for the first fixed VWS. Size and Weight Enforcement officers will work the VWS as they scan commercial
vehicles for vehicles listed in the PRISM database as targeted for inspection and violating out-of-service orders. Since this location is proximate to the state's scale house in Blackbird, Delaware, officers will have the ability to escort those commercial vehicles identified as targeted or out-of-service, to the scale house for further investigation. Other associated costs, such as WIM's and related hard/software, necessary to construct the VWS will be handled through a separate CVISN funding source.

3. The third LPR would also be a fixed LPR and would be deployed in the area of the state's Middletown scale house. Common by-pass routes are being identified around the Middletown scale house. The plan would be to install a second Virtual Weigh Station on one or more of these bypass routes. Size and Weight Enforcement officers will work the VWS as they scan commercial vehicles for vehicles listed in the PRISM database as targeted for inspection and violating out-of-service orders. Since these locations are identified by-pass routes, any commercial vehicle that is identified as a targeted vehicle, or is identified as out-of-service, can be escorted to the Middletown scale house for further investigation.

MONITORING

1. The MCSAP Supervisor will conduct monthly audits of the number of violations, PRISM database hits, and inspections, detected by the license plate readers to evaluate their effectiveness.

2. Keep a log of the number of PRISM hits, violations, arrests, and out-of-service inspections and report the results quarterly to FMCSA.

BUDGET

<table>
<thead>
<tr>
<th>Category</th>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 Equipment</td>
<td>2 fixed, 1 portable LPR units</td>
<td>$150,000</td>
</tr>
<tr>
<td>2 Contractual</td>
<td>Extended 5-year warranty on LPR cameras</td>
<td>$36,000</td>
</tr>
<tr>
<td>3 Software</td>
<td>Screening software / licenses</td>
<td>$64,000</td>
</tr>
<tr>
<td>Total cost</td>
<td></td>
<td>$250,000</td>
</tr>
</tbody>
</table>
CONCLUSION

The State of Delaware is striving to improve daily operations in detecting and enforcing violations of Federal out-of-service orders and identifying drivers and vehicles that are a hazard on the roadways. Through the advancement of technology the state will become more efficient in identifying those vehicles targeted in PRISM for inspection and those carriers who continue to operate after being placed out-of-service. By incorporating license plate readers, Commercial Vehicle Enforcement Inspectors will be able to detect and target those violators while patrolling high volume roadways in an efficient manner. The result being safer roads with fewer crashes, as these high risk carriers are removed from the roads. By integrating the enforcement of dangerous moving violations with information from PRISM database information to combine real-time poor driving behavior, such as speeding, following too close, etc., with poor historical safety practices from PRISM database information, such as high Safestat scores, failure of safety audits, and failure to pay fines, the state expects to increase overall highway safety.
This opportunity is only open to organizations, applicants who are submitting grant applications on behalf of a company, state, local or tribal government, academia, or other type of organization.

* Application Filing Name: Prism Grant - MCSIP, DE

**Mandatory Documents**

Application for Federal Assistance (SF-424)  
Grants.gov Lobbying Form  
Budget Information for Non-Construction Program  
Assurances for Non-Construction Programs (SF-42)  
Attachments

**Optional Documents**

Disclosure of Lobbying Activities (SF-LLL)

**Instructions**

1. Enter a name for the application in the Application Filing Name field.
   - This application can be completed in its entirety offline; however, you will need to login to the Grants.gov website during the submission process.
   - You can save your application at any time by clicking the “Save” button at the top of your screen.
   - The “Save & Submit” button will not be functional until all required data fields in the application are completed and you clicked on the “Check Package for Errors” button and confirmed all data required data fields are completed.

2. Open and complete all of the documents listed in the “Mandatory Documents” box. Complete the SF-424 form first.
   - It is recommended that the SF-424 form be the first form completed for the application package. Data entered on the SF-424 will populate data fields in other mandatory and optional forms and the user cannot enter data in these fields.
   - The forms listed in the “Mandatory Documents” box and “Optional Documents” may be predefined forms, such as SF-424, forms where a document needs to be attached, such as the Project Narrative or a combination of both. “Mandatory Documents” are required for this application. “Optional Documents” can be used to provide additional support for this application or may be required for specific types of grant activity. Reference the application package instructions for more information regarding “Optional Documents”.
   - To open and complete a form, simply click on the form’s name to select the item and then click on the => button. This will move the document to the appropriate “Documents for Submission” box and the form will be automatically added to your application package. To view the form, scroll down the screen or select the form name and click on the “Open Form” button to begin completing the required data fields. To remove a form from the “Documents for Submission” box, click the document name to select it, and then click the <= button. This will return the form/document to the “Mandatory Documents” or “Optional Documents” box.
   - All documents listed in the “Mandatory Documents” box must be moved to the “Mandatory Documents for Submission” box. When you open a required form, the fields which must be completed are highlighted in yellow with a red border. Optional fields and completed fields are displayed in white. If you enter invalid or incomplete information in a field, you will receive an error message.

3. Click the “Save & Submit” button to submit your application to Grants.gov.
   - Once you have properly completed all required documents and attached any required or optional documentation, save the completed application by clicking on the “Save” button.
   - Click on the “Check Package for Errors” button to ensure that you have completed all required data fields. Correct any errors or if none are found, save the application package.
   - The “Save & Submit” button will become active; click on the “Save & Submit” button to begin the application submission process.
   - You will be taken to the applicant login page to enter your Grants.gov username and password. Follow all onscreen instructions for submission.
Application for Federal Assistance SF-424

1. Type of Submission: 
   - Preapplication
   - Application
   - Changed/Corrected Application

2. Type of Application: 
   - If Revision, select appropriate letter(s):
     - New
     - Continuation
     - Revision

3. Date Received:
   - Completed by Grants.gov upon submission.

4. Applicant Identifier:

5a. Federal Entity Identifier:

5b. Federal Award Identifier:

State Use Only:

6. Date Received by State: 

7. State Application Identifier: 

8. APPLICANT INFORMATION:

   a. Legal Name: Department of Safety and Homeland Security, Delaware

   b. Employer/Taxpayer Identification Number (EIN/TIN):
      516-00-0279

   c. Organizational DUNS:
      0057547210000

   d. Address:
      - Street1: 1441 N. DuPont Hwy
      - City: Dover
      - County/Parish: 
      - State: DE: Delaware
      - Province: 
      - Country: USA: UNITED STATES
      - Zip / Postal Code: 19903-0430

   e. Organizational Unit:
      - Department Name: Safety and Homeland Security
      - Division Name: State Police

   f. Name and contact information of person to be contacted on matters involving this application:
      - Prefix: Sergeant
      - First Name: Philip
      - Middle Name: 
      - Last Name: Strohm
      - Suffix: 
      - Title: NCOIC, Commercial Motor Vehicle Enforcement
      - Organizational Affiliation: Delaware State Police
      - Telephone Number: 302-378-5230
      - Fax Number: 302-378-5231
      - Email: philip.strohm@state.de.us

Expiration Date: 03/31/2012
Application for Federal Assistance SF-424

* 9. Type of Applicant 1: Select Applicant Type:
   - State Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

* 10. Name of Federal Agency:
   - DOT/Federal Motor Carrier Safety Administration

11. Catalog of Federal Domestic Assistance Number:
   - 20.231
   - CFDA Title:
     - Performance and Registration Information Systems Management

* 12. Funding Opportunity Number:
   - FM-PZG-11-001
   * Title:
     - FY 2011 PRISM Grant

13. Competition Identification Number:
   - FM-PZG-11-001-011897
   - Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

* 15. Descriptive Title of Applicant's Project:
   - State of Delaware's portable License Plate Reader project.

Attach supporting documents as specified in agency instructions.
ATTACHMENTS FORM

Instructions: On this form, you will attach the various files that make up your grant application. Please consult with the appropriate Agency Guidelines for more information about each needed file. Please remember that any files you attach must be in the document format and named as specified in the Guidelines.

Important: Please attach your files in the proper sequence. See the appropriate Agency Guidelines for details.

<table>
<thead>
<tr>
<th>1) Please attach Attachment 1</th>
<th>Prism Grant Award Delaware 2</th>
<th>Add Attachment</th>
<th>Delete Attachment</th>
<th>View Attachment</th>
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<tbody>
<tr>
<td>2) Please attach Attachment 2</td>
<td>grant description.doc</td>
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<tr>
<td>3) Please attach Attachment 3</td>
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<td>4) Please attach Attachment 4</td>
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<td>5) Please attach Attachment 5</td>
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<tr>
<td>6) Please attach Attachment 6</td>
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<td>7) Please attach Attachment 7</td>
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<td>9) Please attach Attachment 9</td>
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<td>10) Please attach Attachment 10</td>
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<td>12) Please attach Attachment 12</td>
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<td>15) Please attach Attachment 15</td>
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<td>View Attachment</td>
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**Application for Federal Assistance SF-424**

16. Congressional Districts Of:
- a. Applicant DE-000
- b. Program/Project DE-all

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:
- a. Start Date: 02/01/2011
- b. End Date: 09/30/2011

18. Estimated Funding ($):

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<th>Amount</th>
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<td>b. Applicant</td>
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<tr>
<td>c. State</td>
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<tr>
<td>d. Local</td>
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19. Is Application Subject to Review By State Under Executive Order 12372 Process?
- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)
- Yes
- No

If "Yes", provide explanation and attach

21. "By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)"

** I AGREE

" The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: Mr.
* First Name: Lewis
Middle Name: D.
* Last Name: Schiliro
Suffix: 
* Title: Cabinet Secretary

* Telephone Number: 302-744-2680
Fax Number: 302-739-4874
* Email: dee.rivard@state.de.us

* Signature of Authorized Representative: [Signature]
* Date Signed: 2-16-11
## BUDGET INFORMATION - Non-Construction Programs

### SECTION A - BUDGET SUMMARY

<table>
<thead>
<tr>
<th>Grant Program Function or Activity</th>
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<th>Estimated Unobligated Funds</th>
<th>New or Revised Budget</th>
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<td>(d) Non-Federal</td>
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<td>$11</td>
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<td>2.</td>
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<tr>
<td>3.</td>
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<td>5. Totals</td>
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OMS Approval No. 4040-0006
Expiration Date 07/30/2010

Standard Form 424A (Rev. 7-97)
Prescribed by OMB (Circular A-102) Page 1
### SECTION B - BUDGET CATEGORIES

#### 6. Object Class Categories

<table>
<thead>
<tr>
<th>GRANT PROGRAM, FUNCTION OR ACTIVITY</th>
<th>Total</th>
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</thead>
<tbody>
<tr>
<td>FY2011 PRISM grant</td>
<td></td>
</tr>
</tbody>
</table>

| a. Personnel | $1 | $ | $ | $ | $ |
| b. Fringe Benefits | | | | | |
| c. Travel | | | | | |
| d. Equipment | $150,000.00 | | | | $150,000.00 |
| e. Supplies | | | | | |
| f. Contractual | $36,000.00 | | | | $36,000.00 |
| g. Construction | | | | | |
| h. Other | $64,000.00 | | | | $64,000.00 |
| i. Total Direct Charges (sum of 6a-6h) | $250,000.00 | | $ | | $250,000.00 |
| j. Indirect Charges | | | | | |
| k. TOTALS (sum of 6i and 6j) | $250,000.00 | | $ | | $250,000.00 |

| 7. Program Income | $ | $ | $ | $ | $ |

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### SECTION C - NON-FEDERAL RESOURCES

<table>
<thead>
<tr>
<th>(a) Grant Program</th>
<th>(b) Applicant</th>
<th>(c) State</th>
<th>(d) Other Sources</th>
<th>(e) TOTALS</th>
</tr>
</thead>
<tbody>
<tr>
<td>FY 2011 PRISM Grant</td>
<td>$</td>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
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<td></td>
<td></td>
</tr>
<tr>
<td>8. TOTAL (sum of lines 8-11)</td>
<td>$</td>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
</tbody>
</table>

### SECTION D - FORECASTED CASH NEEDS

<table>
<thead>
<tr>
<th></th>
<th>1st Quarter</th>
<th>2nd Quarter</th>
<th>3rd Quarter</th>
<th>4th Quarter</th>
</tr>
</thead>
<tbody>
<tr>
<td>Federal</td>
<td>$</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Non-Federal</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>13. Federal</td>
<td>$</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Non-Federal</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>14. Non-Federal</td>
<td>$</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>15. TOTAL (sum of lines 13 and 14)</td>
<td>$</td>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
</tbody>
</table>

### SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT

<table>
<thead>
<tr>
<th>(a) Grant Program</th>
<th>FUTURE FUNDING PERIODS (YEARS)</th>
</tr>
</thead>
<tbody>
<tr>
<td>FY 2011 PRISM Grant</td>
<td>(b) First</td>
</tr>
<tr>
<td></td>
<td>$</td>
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<tr>
<td></td>
<td></td>
</tr>
<tr>
<td>16. TOTAL (sum of lines 16 - 19)</td>
<td>$</td>
</tr>
</tbody>
</table>

### SECTION F - OTHER BUDGET INFORMATION

<table>
<thead>
<tr>
<th></th>
<th>21. Direct Charges:</th>
<th>22. Indirect Charges:</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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<td></td>
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</tbody>
</table>
ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.

2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.

3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.

4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.

5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).

6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.

8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

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Prescribed by OMB Circular A-102

10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is $10,000 or more.

11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).


14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.

15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.

16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.

17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."

18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

* SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL

* TITLE
Cabinet Secretary

* APPLICANT ORGANIZATION
Department of Safety and Homeland Security, Delaware

* DATE SUBMITTED

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CERTIFICATION REGARDING LOBBYING

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-L.L.L., “Disclosure of Lobbying Activities,” in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

Statement for Loan Guarantees and Loan Insurance

The undersigned states, to the best of his or her knowledge and belief, that:

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to Insure or guarantee a loan, the undersigned shall complete and submit Standard Form-L.L.L., “Disclosure of Lobbying Activities,” in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

* APPLICANT'S ORGANIZATION
  Department of Safety and Homeland Security, Delaware

* PRINTED NAME AND TITLE OF AUTHORIZED REPRESENTATIVE

Prefix: Mr.  * First Name: Lewis  Middle Name: D.

* Last Name: Schiliro  Suffix: 

* Title: Cabinet Secretary

* SIGNATURE:  * DATE: 2-16-17