STATE OF MINNESOTA
INTERAGENCY AGREEMENT
CRIMINAL JUSTICE AGENCY

This agreement is between the Minnesota Department of Public Safety’s, Bureau of Criminal
Apprehension, Minnesota Justice Information Services (BCA) and Minnesota Department of Public
Safety’s, State Patrol (MSP).

Agreement

1 Term of Agreement
1.1 Effective date: This Agreement is effective on the date the BCA obtains all required signatures
under Minnesota Statutes, section 16C.05, subdivision 2.
1.2 Expiration date: This Agreement expires five years from the date it is effective.

2 Agreement between the parties:

Under Minn. Stat. § 299C.46 the BCA must provide a criminal justice data communications network
to benefit criminal justice agencies in Minnesota. The Agency is authorized by law to utilize
the criminal justice data communications network pursuant to the terms set out in this agreement. In
addition, BCA either maintains repositories of data or has access to repositories of data that benefit
criminal justice agencies in performing their duties. The Agency wants to access these data in support
of its criminal justice duties.

The purpose of this Agreement is to create a method by which the Agency has access to those systems
and tools for which it has eligibility, and to memorialize the requirements to obtain access and the
limitations on the access.

2.1 General access. BCA agrees to provide the Agency with access to the Minnesota Criminal Justice
Data Communications Network (CJDN) and those systems and tools which the Agency is authorized
by law to access via the CJDN for the purposes outlined in Minn. Stat. § 299C.46.

2.2 Methods of access.
The BCA offers three (3) methods of access to its systems and tools. The methods of access are:

A. Direct access occurs when individual users at the Agency use the Agency’s equipment to
access the BCA’s systems and tools. This is generally accomplished by an individual user entering
a query into one of BCA’s systems or tools.

B. Indirect access occurs when individual users at the Agency go to another Agency to obtain
data and information from BCA’s systems and tools. This method of access generally results in
the Agency with indirect access obtaining the needed data and information in a physical format
like a paper report.

C. Computer-to-computer system interface occurs when the Agency’s computer exchanges data
and information with BCA’s computer systems and tools using an interface. Without limitation,
interface types include: state message switch, web services, enterprise service bus and message
queuing.

For purposes of this Agreement, the Agency employees or contractors may use any of these methods to
use BCA’s systems and tools as described in this Agreement. The Agency will select a method(s) of
access and can change the methodology following the process in Clause 2.10.
2.3 Federal systems access. In addition, pursuant to 28 CFR §20.30-38 and Minn. Stat. §299C.58, BCA will provide the Agency with access to the Federal Bureau of Investigation (FBI) National Crime Information Center.

2.4 Agency policies. Both the BCA and the FBI’s Criminal Justice Information Systems (FBI-CJIS) have policies, regulations and laws on access, use, audit, dissemination, hit confirmation, logging, quality assurance, screening (pre-employment), security, timeliness, training, use of the system, and validation. The Agency has created its own policies to ensure that the Agency’s employees and contractors comply with all applicable requirements. The Agency ensures this compliance through appropriate enforcement. These BCA and FBI-CJIS policies and regulations, as amended and updated from time to time, are incorporated into this Agreement by reference. The policies are available at www.dps.state.mn.us/cjdn/.

2.5 Agency resources. To assist the Agency in complying with the federal and state requirements on access to and use of the various systems and tools, information is available at https://sp5.x.state.mn.us/sites/bcaservicecatalog/default.aspx.

2.6 Access granted.

A. The Agency is granted permission to use all current and future BCA systems and tools for which AGENCY is eligible. Eligibility is dependent on the Agency(i) satisfying all applicable federal or state statutory requirements; (ii) complying with the terms of this Agreement; and (iii) acceptance by BCA of Agency’s written request for use of a specific system or tool.

B. To facilitate changes in systems and tools, the Agency grants its Authorized Representative authority to make written requests for those systems and tools provided by BCA that the Agency needs to meet its criminal justice obligations and for which AGENCY is eligible.

2.7 Future access. On written request by the Agency, BCA also may provide the Agency with access to those systems or tools which may become available after the signing of this Agreement, to the extent that the access is authorized by applicable state and federal law. The Agency agrees to be bound by the terms and conditions contained in this Agreement that when utilizing new systems or tools provided under this Agreement.

2.8 Limitations on access. BCA agrees that it will comply with applicable state and federal laws when making information accessible. The Agency agrees that it will comply with applicable state and federal laws when accessing, entering, using, disseminating, and storing data. Each party is responsible for its own compliance with the most current applicable state and federal laws.

2.9 Supersedes prior agreements. This Agreement supersedes any and all prior agreements between the BCA and the Agency regarding access to and use of systems and tools provided by BCA.

2.10 Requirement to update information. The parties agree that if there is a change to any of the information whether required by law or this Agreement, the party will send the new information to the other party in writing within 30 days of the change. This clause does not apply to changes in systems or tools provided under this Agreement.

This requirement to give notice additionally applies to changes in the individual or organization serving a city as its prosecutor. Any change in performance of the prosecutorial function needs to be provided to the BCA in writing by giving notice to the Service Desk, BCA.ServiceDesk@state.mn.us.
2.11 Transaction record. The BCA creates and maintains a transaction record for each exchange of data utilizing its systems and tools. In order to meet FBI-CJIS requirements and to perform the audits described in Clause 7, there must be a method of identifying which individual users at the Agency conducted a particular transaction.

If the Agency uses either direct access as described in Clause 2.2A or indirect access as described in Clause 2.2B, BCA’s transaction record meets FBI-CJIS requirements.

When the Agency’s method of access is a computer to computer interface as described in Clause 2.2C, the Agency must keep a transaction record sufficient to satisfy FBI-CJIS requirements and permit the audits described in Clause 7 to occur.

If the Agency accesses and maintains data from the Driver and Vehicle Services Division in the Minnesota Department of Public Safety, the Agency must have a transaction record of all access to the data that are maintained. The transaction record must include the individual user who requested access, and the date, time and content of the request. The transaction record must also include the date, time and content of the response along with the destination to which the data were sent. The transaction record must be maintained for a minimum of six (6) years from the date the transaction occurred and must be made available to the BCA within one (1) business day of the BCA’s request.

2.12 Court information access. Certain BCA systems and tools that include access to and/or submission of Court Records may only be utilized by the Agency if the Agency completes the Court Data Services Subscriber Amendment, which upon execution will be incorporated into this Agreement by reference. These BCA systems and tools are identified in the written request made by the Agency under Clause 2.6 above. The Court Data Services Subscriber Amendment provides important additional terms, including but not limited to privacy (see Clause 8.2, below), fees (see Clause 3 below), and transaction records or logs, that govern Agency’s access to and/or submission of the Court Records delivered through the BCA systems and tools.

3 Consideration and Payment
The Agency agrees to pay BCA for access to the criminal justice data communications network described in Minn. Stat. § 299C.46 as specified in this Agreement the amount of $112,680.00 per year, a total amount not to exceed $563,400.00 during the term of this Agreement.

The Agency will identify its contact person for billing purposes, and will provide updated information to BCA’s Authorized Representative within ten business days when this information changes.

If the Agency chooses to execute the Court Data Services Subscriber Amendment referred to in Clause 2.12 in order to access and/or submit Court Records via BCA’s systems, additional fees, if any, are addressed in that amendment.

4 Authorized Representatives
The BCA's Authorized Representative is Dana Gotz, Department of Public Safety, Bureau of Criminal Apprehension, Minnesota Justice Information Services, 1430 Maryland Avenue, St. Paul, MN 55106, 651-793-1007, or her successor.

The Agency's Authorized Representative is Mark Dunaski, Colonel, MN State Patrol, 444 Cedar Street, St. Paul, MN 55101, 651-201-7117 or his/her successor.

5 Amendments
Any amendment to this agreement must be in writing and will not be effective until it has been executed and approved by the same parties who executed and approved the original agreement, or their
successors in office.

6 Liability
Each party will be responsible for its own acts and behavior and the results thereof.

7 Audits
7.1 Under Minn. Stat. § 16C.05, subd. 5, the Agency’s books, records, documents, internal policies and accounting procedures and practices relevant to this Agreement are subject to examination by the BCA, or Legislative Auditor, as appropriate, for a minimum of six years from the end of this Agreement. The examination shall be limited to the books, records, documents, and accounting procedures and practices that are relevant to this Agreement.

7.2 Under applicable state and federal law, the Agency’s records are subject to examination by the BCA to ensure compliance with laws, regulations and policies about access, use, and dissemination of data.

7.3 If the Agency accesses federal databases, the Agency’s records are subject to examination by the FBI and the Agency will cooperate with FBI examiners and make any requested data available for review and audit.

7.4 To facilitate the audits required by state and federal law, the Agency is required to have an inventory of the equipment used to access the data covered by this Agreement and the physical location of each.

8 Government Data Practices
8.1 BCA and Agency. The Agency and BCA must comply with the Minnesota Government Data Practices Act, Minn. Stat. Ch. 13, as it applies to all data accessible under this Agreement, and as it applies to all data created, collected, received, stored, used, maintained, or disseminated by the Agency under this Agreement. The remedies of Minn. Stat. §§ 13.08 and 13.09 apply to the release of the data referred to in this clause by either the Agency or the BCA.

8.2 Court Records. If the Agency chooses to execute the Court Data Services Subscriber Amendment referred to in Clause 2.12 in order to access and/or submit Court Records via BCA’s systems, the following provisions regarding data practices also apply. The Court is not subject to Minn. Stat. Ch. 13 (see section 13.90) but is subject to the Rules of Public Access to Records of the Judicial Branch promulgated by the Minnesota Supreme Court. All parties acknowledge and agree that Minn. Stat. § 13.03, subdivision 4(c) requires that the BCA and the Agency comply with the Rules of Public Access for those data received from Court under the Court Data Services Subscriber Amendment. All parties also acknowledge and agree that the use of, access to or submission of Court Records, as that term is defined in the Court Data Services Subscriber Amendment, may be restricted by rules promulgated by the Minnesota Supreme Court, applicable state statute or federal law. All parties acknowledge and agree that these applicable restrictions must be followed in the appropriate circumstances.

9 Investigation of alleged violations; sanctions
For purposes of this clause, “Individual User” means an employee or contractor of the Agency.

9.1 Investigation. The Agency AGENCY and BCA agree to cooperate in the investigation and possible prosecution of suspected violations of federal law, state law, and policies and procedures referenced in this Agreement. When BCA becomes aware that a violation may have occurred, BCA will inform the Agency of the suspected violation, subject to any restrictions in applicable law. When AGENCY becomes aware that a violation has occurred, the Agency will inform BCA subject to any
restrictions in applicable law.

9.2 Sanctions Involving Only BCA Systems and Tools.
The following provisions apply to BCA systems and tools not covered by the Court Data Services Subscriber Amendment.

9.2.1 For BCA systems and tools that are not covered by the Court Data Services Subscriber Amendment, the Agency must determine if and when an involved Individual User’s access to systems or tools is to be temporarily or permanently eliminated. The decision to suspend or terminate access may be made as soon as alleged violation is discovered, after notice of an alleged violation is received, or after an investigation has occurred. The Agency must report the status of the Individual User’s access to BCA without delay.

9.2.2 If BCA determines that the Agency has jeopardized the integrity of the systems or tools covered in this Clause 9.2, BCA may temporarily stop providing some or all the systems or tools under this Agreement until the failure is remedied to the BCA’s satisfaction. If the Agency’s failure is continuing or repeated, Clause 11 does not apply and BCA may terminate this Agreement immediately.

9.3 Sanctions Involving Only Court Data Services
The following provisions apply to those systems and tools covered by the Court Data Services Subscriber Amendment, if it has been signed by the Agency. As part of the agreement between the Court and the BCA for the delivery of the systems and tools that are covered by the Court Data Services Subscriber Amendment, BCA is required to suspend or terminate access to or use of the systems and tools either on its own initiative or when directed by the Court. The decision to suspend or terminate access may be made as soon as an alleged violation is discovered, after notice of an alleged violation is received, or after an investigation has occurred. The decision to suspend or terminate may also be made based on a request from the Authorized Representative of the Agency. The agreement further provides that only the Court has the authority to reinstate access and use.

9.3.1 The Agency understands that if it has signed the Court Data Services Subscriber Amendment and if the Agency’s Individual Users violate the provisions of that Amendment, access and use will be suspended by BCA or Court. The Agency also understands that reinstatement is only at the direction of the Court.

9.3.2 The Agency further agrees that if the Agency believes that one or more of its Individual Users have violated the terms of the Amendment, it will notify BCA and Court so that an investigation as described in Clause 9.1 may occur.

11 Termination
Either party may terminate this Agreement at any time, with or without cause, upon 30 days’ written notice to the other party’s Authorized Representative. In the event of such termination, BCA shall be entitled to payment, determined on a pro rata basis, for services satisfactorily performed.

12 Continuing obligations

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The parties indicate their agreement and authority to execute this Agreement by signing below.

1. STATE ENCUMBRANCE VERIFICATION

   Individual certifies that funds have been encumbered as required by Minn. Stat. §§ 16A.15 and 16C.05.

Signed: [Signature]
Date: 2-1-11

2. DEPARTMENT OF PUBLIC SAFETY, MINNESOTA STATE PATROL

   By: [Signature]
   (With delegated authority)

   Title: [Title]
   Date: 3-9-11

3. DEPARTMENT OF PUBLIC SAFETY, BUREAU OF CRIMINAL APPREHENSION

   By: [Signature]
   (With delegated authority)

   Title: [Title]
   Date: 02-09-2011