MEMORANDUM FOR:  

SUBJECT: Appointment of Investigating Officer

1. You are hereby appointed an investigating officer for a Commanders inquiry to investigate the circumstances surrounding the escalation of force by B CO 2-327 IN on 1 January 2006. In your investigation you will use the requirements of an informal inquiry as per AR 15-6.

2. If, in the course of your investigation, you suspect that certain persons may be responsible for violations of the Uniform Code-of Military Justice (UCMJ), you must advise them of their rights under Article 31, UCMJ, using DA Form 3881.

3. Submit your findings to the Battalion Commander NLT 08 January 2006.

4. Point of contact for this memo is [Redacted] FOB Warrior.

[Signature]

LTC, IN
Battalion Commander
REPORT OF PROCEEDINGS BY INVESTIGATING OFFICER/BOARD OF OFFICERS

For use of this form, see AR 18-6; the proponent agency is OJAG.

IF MORE SPACE IS REQUIRED IN FILLING OUT ANY PORTION OF THIS FORM, ATTACH ADDITIONAL SHEETS

SECTION I - APPOINTMENT

Appointed by: [Redacted]

Commander: 2-3Z7IN, 18 CT, 101st ABN (AAVLT) BN

Date: 01 JAN 06

(Appointing authority) (Date)

(Attach inclusion 1: Letter of appointment or summary of oral appointment date.) (See para 3-15, AR 15-6.)

SECTION II - SESSIONS

The (investigating) board) commenced at: KICKER PATROL BASE (KPB) at 2155

Date: 01 JAN 06

(Place) (Time)

The following persons (members, respondents, counsel) were absent: (Include brief explanation of each absence.) (See paras 3-2 and 5-3a, AR 15-6.)

The (investigating officer) (board) finished gathering/evidencing evidence at: 0215

Time) on: 02 JAN 06

and completed findings and recommendations at: 0910

(Time) on: 02 JAN 06

SECTION III - CHECKLIST FOR PROCEEDINGS

A. COMPLETE IN ALL CASES

1. Inclusions (para 3-15, AR 15-6)
   a. The letter of appointment or a summary of oral appointment date?
   b. Copy of notice to respondent, if any? (See item 9, below)
   c. Other correspondence with respondent or counsel, if any?
   d. All other written communications to or from the appointing authority?
   e. Privacy Act Statements (Certificate, if statement provided orally)?
   f. Explanation by the investigating officer or board of any unusual delays, difficulties, irregularities, or other problems encountered (e.g., absence of material witnesses)?
   g. Information as to sessions of a formal board not included on page 1 of this report?
   h. Any other significant papers (other than evidence) relating to administrative aspects of the investigation or board?

FOOTNOTES: 1. Expire all negative answers on an attached sheet.
   2. Use of the NO column constitutes a positive representation that the circumstances described in the question did not occur in this investigation or board.

DA FORM 1574, MAR 83 EDITION OF NOV 77 IS OBSOLETE. Page 1 of 4 pages USAPA V1.20

12280
<table>
<thead>
<tr>
<th>2</th>
<th>Exhibits (para 3-14, AR 15-6):</th>
</tr>
</thead>
<tbody>
<tr>
<td>a.</td>
<td>Are all items offered (whether or not received) or considered as evidence individually numbered or listed as exhibits and attached to this report?</td>
</tr>
<tr>
<td>b.</td>
<td>Is an index of all exhibits offered to or considered by investigating officer or board attached before the first exhibit?</td>
</tr>
<tr>
<td>c.</td>
<td>Was the testimony/declaration of each witness been recorded verbatim or been reduced to written form and attached as an exhibit?</td>
</tr>
<tr>
<td>d.</td>
<td>Are copies, descriptions, or depictions (if substituted for real or documentary evidence) properly authenticated and is the location of the original evidence indicated?</td>
</tr>
<tr>
<td>e.</td>
<td>Are descriptions or diagrams included on locations visited by the investigating officer or board (para 5-6b, AR 15-6)?</td>
</tr>
<tr>
<td>f.</td>
<td>Is each written stipulation attached as an exhibit and is each oral stipulation either reduced to writing and made an exhibit or recorded in a verbatim record?</td>
</tr>
<tr>
<td>g.</td>
<td>If official notice of any matter was taken over the objection of a respondent or counsel, is a statement of the matter of which official notice was taken attached as an exhibit (para 5-6d, AR 15-6)?</td>
</tr>
</tbody>
</table>

| 3 | Was a quorum present when the board voted on findings and recommendations (para 5-1 and 5-20, AR 15-6)? |

**B. COMPLETE ONLY FOR FORMAL BOARD PROCEEDINGS (Chapter 5, AR 15-6):**

| 4 | At the initial session, did the recorder read, or determine that all participants had read, the text of appointment (para 5-3b, AR 15-6)? |

| 5 | Was a quorum present at every session of the board (para 5-2b, AR 15-6)? |

| 6 | Was each absence of any member properly excused (para 5-2a, AR 15-6)? |

| 7 | Were members, witnesses, reporter, and interpreter sworn, if required (para 3-1, AR 15-6)? |

| 8 | If any member who voted on findings or recommendations were not present when the board received some evidence, does the inclusion describe how they familiarized themselves with that evidence (para 5-2d, AR 15-6)? |

**C. COMPLETE ONLY IF RESPONDENT WAS DESIGNATED (Section II, Chapter 5, AR 15-6):**

<table>
<thead>
<tr>
<th>9</th>
<th>Notice to respondents (para 5-5, AR 15-6):</th>
</tr>
</thead>
<tbody>
<tr>
<td>a.</td>
<td>Is the method and date of delivery to the respondent indicated on each letter of notification?</td>
</tr>
<tr>
<td>b.</td>
<td>Was the date of delivery at least five working days prior to the first session of the board?</td>
</tr>
<tr>
<td>c.</td>
<td>Does each letter of notification indicate:</td>
</tr>
<tr>
<td>(1)</td>
<td>the date, hour, and place of the first session of the board concerning that respondent?</td>
</tr>
<tr>
<td>(2)</td>
<td>the matter to be investigated, including specific allegations against the respondent, if any?</td>
</tr>
<tr>
<td>(3)</td>
<td>the respondent's rights with regard to counsel?</td>
</tr>
<tr>
<td>(4)</td>
<td>the name and address of each witness or expert called by the respondent?</td>
</tr>
<tr>
<td>(5)</td>
<td>the respondent's rights to be present, present evidence, and call witnesses?</td>
</tr>
<tr>
<td>d.</td>
<td>Was the respondent provided a copy of all unclassified documents in the case file?</td>
</tr>
<tr>
<td>e.</td>
<td>If there were relevant classified materials, were the respondent and his counsel given access and an opportunity to examine them?</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>10</th>
<th>If any respondent was designated after the proceedings began (or otherwise was absent during part of the proceedings):</th>
</tr>
</thead>
<tbody>
<tr>
<td>a.</td>
<td>Was he properly notified (para 5-5, AR 15-6)?</td>
</tr>
<tr>
<td>b.</td>
<td>Was record of proceedings and evidence received in his absence made available for examination by him and his counsel (para 5-4c, AR 15-6)?</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>11</th>
<th>Counsel (para 5-6, AR 15-6):</th>
</tr>
</thead>
<tbody>
<tr>
<td>a.</td>
<td>Was each respondent represented by counsel?</td>
</tr>
<tr>
<td>b.</td>
<td>Name and business address of counsel:</td>
</tr>
</tbody>
</table>

*(If counsel is a lawyer, check here □)*

| c. | Was respondent's counsel present at all open sessions of the board relative to that respondent? |

| 12 | If military counsel was requested but not made available, is a copy (or, if oral, a summary) of the request and the action taken on it appended to the report (para 5-6b, AR 15-6)? |

<table>
<thead>
<tr>
<th>13</th>
<th>If the respondent challenged the legal advisor or any voting member for lack of impartiality (para 5-7, AR 15-6):</th>
</tr>
</thead>
<tbody>
<tr>
<td>a.</td>
<td>Was the challenge properly denied and by the appropriate officer?</td>
</tr>
<tr>
<td>b.</td>
<td>Did each member successfully challenge cease to participate in the proceedings?</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>14</th>
<th>Was the respondent given an opportunity to (para 5-8a, AR 15-6):</th>
</tr>
</thead>
<tbody>
<tr>
<td>a.</td>
<td>Present his counsel at all open sessions of the board which deal with any matter which concerns that respondent?</td>
</tr>
<tr>
<td>b.</td>
<td>Examine and object to the introduction of real and documentary evidence, including written statements?</td>
</tr>
<tr>
<td>c.</td>
<td>Object to the testimony of witnesses and cross-examine witnesses other than his own?</td>
</tr>
<tr>
<td>d.</td>
<td>Call witnesses and otherwise introduce evidence?</td>
</tr>
<tr>
<td>e.</td>
<td>Testify as a witness?</td>
</tr>
<tr>
<td>f.</td>
<td>Make or have his counsel make a final statement or argument (para 5-9, AR 15-6)?</td>
</tr>
</tbody>
</table>

**FOOTNOTES:**

1. Explain all negative answers on an attached sheet.

2. Use of the N/A column constitutes a negative representation that the circumstances described in the question did not occur in the investigation as alleged.
SECTION IV - FINDINGS (para. 3-10, AR 15-6)

The (investigating officer) (board), having carefully considered the evidence, finds:

See Attached

SECTION V - RECOMMENDATIONS (para. 3-11, AR 15-6)

In view of the above findings, the (investigating officer) (board) recommends:

See Attached
SECTION VI - AUTHENTICATION (para 3-17, AR 15-6)

THIS REPORT OF PROCEEDINGS IS COMPLETE AND ACCURATE. (If any voting member or the recorder fails to sign here or in Section VII below, indicate the reason in the space where his signature should appear.)

__________ (Recorder)

__________ (Investigating Officer) (President)

__________ (Member)

__________ (Member)

__________ (Member)

SECTION VII - MINORITY REPORT (para 3-13, AR 15-6)

To the extent indicated in Inclosure _____, the undersigned do(es) not concur in the findings and recommendations of the board. (In the Inclosure, identify by number each finding and/or recommendation to which the dissenting member(s) do(es) not concur. State the reasons for disagreement. Additional/substitute findings and/or recommendations may be included in the Inclosure.)

__________ (Member)

__________ (Member)

SECTION VIII - ACTION BY APPOINTING AUTHORITY (para 2-3, AR 15-6)

The findings and recommendations of the (Investigating Officer) Board are approved (disapproved) (approved with following exceptions/substitutions). (If the appointing authority returns the proceedings to the investigating officer or board for further proceedings or corrective action, attach that correspondence (or a summary, if oral) as a numbered Inclosure.)
RECOMMENDATIONS

Members of the Bayonet element followed the prescribed Rules of Engagement and showed proper Escalation of Force procedures. The vehicle driven by Yokhana Ygo Yokhana was a reasonable threat to the element that was securing and controlling a riot which was turning violent. All soldiers within the element acted in accordance with rules of engagement.

Recommendations:

1) All members of Bayonet Company and other units who regularly go on patrols should continue to receive additional Escalation of Force/Rules of Engagement training.

2) Soldiers should continue to receive additional training on collateral damage.

3) Development of classes to make soldiers aware of effects of rounds impact, i.e. ricochet impact and situational awareness of where they are shooting.

4) Ensure leaders continue to give in-depth briefs of situation and what their current mission is.

5) Condolence Payments be made to the two Local Nationals (Omar Faud and Aleela Ishan Wahab) families due accidental death and injury by CF.
FINDINGS

At approximately 1500 on 01 JAN 06 1st Section from 2nd PLT Bravo Company moved from the Rahem Awa Police Station into a flanking/blocking position IOT control a crowd of agitated demonstrators/rioters. The patrol leader was (Bayonet 27), (PLT Medic), (Team Leader) (Squad Leader). After the initial incident arrived on scene.

The section set there three vehicles in position: Two were set side by side with a three story building to their right. The third vehicle was to their rear pulling rear security with co-located with vehicle. To the left of vehicles there was a parking lot, a small building, and some trees and bushes limiting view of the major road (running generally North to South) in front of their position. Directly in front of their position was a major road. To the front, rear, and left of their position large groups of demonstrators had gathered.

The demonstrators were demonstrating because of a recent rupture in the sewage line within the Rahem Awa Neighborhood which was flooding their homes. They were additionally agitated due to the Rahem Awa Police firing warning shot into the air. This group of demonstrators was most notably agitated of any group of demonstrators at the time the Bayonet element was ordered to secure vic The Local Nationals (LN) were throwing rocks at Coalition Forces which varied in size from small to large as well as burning tires and throwing aerosol cans into the fires. Some members of the crowd used sling shots to fling rocks at Coalition Forces causing injury to several members of the patrol (most notably who has visible bruising on his right had as well as a small cut on the same hand). The patrol heard warning shots from the Rahem Awa Police as well as sporadic small arms fire from the rear of the position (several hundred meters behind them).

The Escalation of Force (EOF) incident occurred approximately 30 minutes after setting into the patrol’s blocking position. Prior to the 4 door white sedan moving into the alley two vehicles had been diverted by the Bayonet element from driving into the alley by shouting “stop” and “kief” as well as using hand gestures to stop and turn around.

The white 4 door sedan approached from the North moving South through the crowd of demonstrators. The demonstrators who had calmed down considerably at this point made attempts to stop the vehicle from approaching CF in the alley. The vehicle continued at a slow rate of speed (approximately 5-10 mph) towards the CF position. At this point and (all dismounted soldiers and M240B gunner) began to yell at the driver of the vehicle to stop in English, Arabic, and Kurdish. At this point the driver continued to ignore orders given to him by CF. They then continued to yell in raised voices, starting using hand gestures to signal the vehicle to stop. The driver of the vehicle made eye contact with several members of the section (who was position in the door way of the 3 story building is certain he made eye contact with him). At this point dismounted soldiers raised their weapons and...
charged his M240B. The vehicle then past the “GUN LINE” (as described to me by members of the patrol- a predetermined point 20 meters in front of their position where the use of deadly force became authorized if a threatening incident occurred (possibility of SVBIED)). When the white sedan (driven by Yokhana Ygo Yokhana) approached the CF position at a distance of 15 meters (patrol leader) engaged (M4) the vehicle engine block with several rounds and then fired an additional rounds which impacted in the driver’s side windshield and into the driver. At this time the other dismounts and M240B gunner (as listed above) engaged the vehicle (see below for number of rounds and aiming points) following their patrol leaders initial engagement of Mr. Yokhana’s vehicle. They continued to fire for approximately 3-5 seconds until the order of cease fire was given by and (who was in an over watch position from the roof of the three story building). The vehicle rolled to a stop 5 meters away from the coalition forces lead HUMVVE.

Members of the section then approached the vehicle with caution (due to the belief the vehicle might be SVBIED). saw that Mr. Yokhana was “twitching” and called for an EFR. Patrol members placed Mr. Yokhana behind a 4 foot wall and rendered medical attention to him. The victim had between 10 to 15 gunshot wounds. Soon after Mr. Yokhana died of wounds sustained. The patrol covered his body with a sheet found in the three story building adjacent to their position. Mr. Yokhana’s wounds were severe and deadly still CF medics rendered aide in attempt to save his life.

While EFRs gave Mr. Yokhana medical care the crowd of rioters signaled to CF that there were individuals wounded in the crowd (Omar Faud and Aleel Ihsan Wahab). No member of the Bayonet element engaged the crowd. The two wounded individuals were at ten degree angel from where CF engaged Mr. Yokhana’s vehicle. signaling to four LNs to bring the 2 wounded individuals into CF position. No member of the patrol was sent into the crowd for their protection. The two wounded individuals were brought behind the two lead HUMMVEs and in front of rear vehicle where administered medical treatment. Both LNs had exit wounds on their right side.

The Bayonet element called for a FLA to exfil the two LNs which was unable to reach their location. Simultaneously the patrol’s interpreter was calling the local hospital (Kirkuk General Hospital) which he was unable to reach. Approximately at this time Bayonet 26 arrived on scene. The element then called for a civilian ambulance to exfil the two wounded LNs. made contact with LN (who spoke decent English and whom he talked to earlier) and asked him to call KGH which he did without success. After waiting an additional (approximate time) another 5 minutes for the civilian ambulance Bayonet 26 made the decision to exfil the wounded LNs to KGH using HUMMVEs.

A quick patrol was then put together and sent to KGH with the two wounded LNs. Approximately 3 minutes out from KGH Omar Faud died of wounds he sustained (he had sucking chest wound). Omar Faud body was turned over to individuals at KGH. The patrol delivered Aleel Ihsan Wahab (who had an exit wound coming out of his right rib cage) to KGH for further medical treatment. The patrol then continued their mission.

Bayonet 26 and Bayonet 27 turned the body of Yokhana Ygo Yokhana to the Rahem Awa Police (he was delivered in a CF HUMMVE because the Iraqi ambulance
wound not transport him) IOT ensure his body was returned to his (which had already
spoken with Rahem Awa Police about his death).

Number of Shots fired by individual and aiming points: (according to each individual
who fired)

<table>
<thead>
<tr>
<th>Individual/Number of Rounds (first to fire)</th>
<th>First Aim Point</th>
<th>Second Aim Point</th>
</tr>
</thead>
<tbody>
<tr>
<td>10-15 rounds</td>
<td>Hood of the car</td>
<td>Driver</td>
</tr>
<tr>
<td>EX6</td>
<td>Driver side door</td>
<td></td>
</tr>
<tr>
<td>4-5 rounds</td>
<td>Hood of car (6)</td>
<td>Passenger Door (10)</td>
</tr>
<tr>
<td>EX6</td>
<td>Front Right Tire</td>
<td>Right Corner Panel of</td>
</tr>
<tr>
<td>16 rounds</td>
<td>Hood of car</td>
<td>Hood</td>
</tr>
<tr>
<td>EX6</td>
<td>Front tires</td>
<td>Driver</td>
</tr>
<tr>
<td>30 rounds</td>
<td></td>
<td></td>
</tr>
<tr>
<td>EX6</td>
<td></td>
<td></td>
</tr>
<tr>
<td>22-25 rounds</td>
<td></td>
<td></td>
</tr>
<tr>
<td>EX6</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(had to charge M240B before Firing)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>One 3-4 second burst (unsure of round count)</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

The Bayonet element did everything within their power to save the wounded
individuals lives. Two medics and three EFR qualified soldiers render aide immediately
after the incident with all available resources.

In my opinion the Rules of Engagement were followed and EOF was
established. Due to the current tactical situation within Kirkuk at the time (there was a
demonstration occurring in the vicinity of the Rahem Awa neighborhood consisting of
400-500 rioters who were burning buildings/tires and throwing rocks at CF, three
SVBIEDs had been initiated within the city of Kirkuk between the hours of 1200-1500 of
the same (two in Bravo Company’s Area of Responsibility), and there had been two IED
detonations one on an ISF patrol and the other on the Cougar 16 element who had been
diverted to secure and SVBIED site on the border of Charlie and Bravo Company’s
AORs the patrol had reasonable suspicion to believe Mr. Yokhana’s vehicle was a
threat. Two other vehicles had been diverted using their EOF procedures. According to
two soldiers on the scene the vehicle attitude (windows rolled up and one male
occupant/driver) matched the description of an individual who might initiate a SVBIED,
as briefed by their chain of command (at an “earlier” date). The patrol yelled in three
languages for the vehicle to stop, used hand gestures, then raised their weapons (charged
their weapon in the case of M240B), fired shots into the car itself, and as a last resort
fired at the driver of the vehicle. Mr. Yokhana saw the Bayonet element raise their
weapons and in case charge his weapon. Mr. Yokhana made no attempt
to comply with CF orders to stop his vehicle. No member of the element fired into the
crowd of demonstrators. It appears as if the two LN's were wounded by ricochets or shots that missed the threat vehicle (there is no way to positively confirm either way).
**RIGHTS WARNING PROCEDURE/WAIVER CERTIFICATE**

*For use of this form, see AR 120-30; the proponent agency is DDCSOPS*

**DATA REQUIRED BY THE PRIVACY ACT**

**AUTHORITY:** Title 10, United States Code, Section 3012(g)

**PRINCIPAL PURPOSE:** To provide commanders and law enforcement officials with means by which information may be accurately identified.

**ROUTINE USES:** Your Social Security Number is used as an additional/alternate means of identification to facilitate filing and retrieval.

**DISCLOSURE:** Disclosure of your Social Security Number is voluntary.

<table>
<thead>
<tr>
<th>1. LOCATION</th>
<th>2. DATE</th>
<th>3. TIME</th>
<th>4. FILE NO.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Patrol Base</td>
<td>Jan 16</td>
<td>2:15-11</td>
<td></td>
</tr>
</tbody>
</table>

**PERSONAL INFORMATION**

<table>
<thead>
<tr>
<th>5. NAME (Last, First, M.I.)</th>
<th>6. SSN</th>
<th>7. GRADE/STATUS</th>
</tr>
</thead>
<tbody>
<tr>
<td>[Redacted]</td>
<td>[Redacted]</td>
<td>[Redacted]</td>
</tr>
</tbody>
</table>

**PART I: RIGHTS WAIVER/NO-WAIVER CERTIFICATE**

**Section A. Rights**

The investigator whose name appears below told me that he/she is with the United States Army [Redacted] and wanted to question me about the following offense(s) of which I am suspected/accused: [Redacted].

He/she asked me any questions about the offense(s), however, he/she made it clear to me that I have the following rights: do not have to answer any questions or say anything. Anything I say or do can be used as evidence against me in a criminal trial.

For personnel subject to the UCMJ: I have the right to talk privately to a lawyer before, during, and after questioning and to have a lawyer present with me during questioning. This lawyer can be a civilian lawyer I arrange for at my own expense to the Government or a military lawyer detailed for me at no expense to me, or both.

For civilians not subject to the UCMJ: I have the right to talk privately to a lawyer before, during, and after questioning and to have a lawyer present with me during questioning. I understand that this lawyer can be one that I arrange for at my own expense, or if I cannot afford a lawyer and want one, a lawyer will be appointed for me before any questioning begins.

If I am now willing to discuss the offense(s) under investigation, with or without a lawyer present, I have a right to stop answering questions at any time, or speak privately with a lawyer before appearing further, even if I sign the waiver below.

**Section B. Waiver**

I understand my rights as stated above. I am now willing to discuss the offense(s) under investigation and make a statement without talking to a lawyer first and without having a lawyer present with me.

**WITNESSES (if available)**

<table>
<thead>
<tr>
<th>1a. NAME (Type or Print)</th>
<th>3. SIGNATURE OF INTERVIEWEE</th>
</tr>
</thead>
<tbody>
<tr>
<td>[Redacted]</td>
<td>[Redacted]</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>1b. ORGANIZATION OR ADDRESS AND PHONE</th>
</tr>
</thead>
<tbody>
<tr>
<td>[Redacted]</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>2a. NAME (Type or Print)</th>
<th>4. SIGNATURE OF INVESTIGATOR</th>
</tr>
</thead>
<tbody>
<tr>
<td>[Redacted]</td>
<td>[Redacted]</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>2b. ORGANIZATION OR ADDRESS AND PHONE</th>
</tr>
</thead>
<tbody>
<tr>
<td>[Redacted]</td>
</tr>
</tbody>
</table>

**Section C. Non-waiver**

1. I do not want to give up my rights
   - [ ] I want a lawyer
   - [ ] I do not want to be questioned or say anything

2. SIGNATURE OF INTERVIEWEE

**ATTACH THIS WAIVER CERTIFICATE TO ANY SWORN STATEMENT (DA FORM 2623) SUBSEQUENTLY EXECUTED BY THE SUSPECT/ACCUSED**

*DA FORM 3881, NOV 89 EDITION OF NOV 84 IS OBSOLETE*
1. WARNING - Inform the suspect/acused of:
   a. Your official position.
   b. Nature of offense(s).
   c. The fact that he/she is a suspect/acused.

2. RIGHTS - Advise the suspect/acused of his/her rights as follows:
   "Before I ask you any questions, you must understand your rights."
   a. "You do not have to answer my questions or say anything."
   b. "Anything you say or do can be used as evidence against you in a criminal trial."
   c. (For personal subject to the UCMJ) "You have the right to talk privately to a lawyer before, during, and after questioning and to have a lawyer present with you during questioning. This lawyer can be a civilian you arrange for at no expense to the Government or a military lawyer detailed for you at no expense to you, or both."
   - or -
   "For civilians not subject to the UCMJ: You have the right to talk privately to a lawyer before, during, and after questioning and to have a lawyer present with you during questioning. This lawyer can be one you arrange for at your own expense, or if you cannot afford a lawyer and want one, a lawyer will be appointed for you before any questioning begins."
   d. "If you are now willing to discuss the offense(s) under investigation, with or without a lawyer present, you have the right to stop answering questions at any time, or speak privately with a lawyer before answering further, even if you sign a waiver certificate."

Make certain the suspect/acused fully understands his/her rights.

THE WAIVER

"Do you understand your rights?"
(if the suspect/acused says "no," determines what is not understood, and if necessary repeat the appropriate rights advisement. If the suspect/acused says "yes," ask the following question.)

"Have you ever requested a lawyer after being read your rights?"
(if the suspect/acused says "yes," find out when and where. If the request was recent (i.e., fewer than 30 days ago), obtain legal advice whether to continue the interrogation. If the suspect/acused says "no," or if the prior request was not recent, ask him/her the following question.)

"Do you want a lawyer at this time?"
(if the suspect/acused says "yes," stop the questioning until he/she has a lawyer. If the suspect/acused says "no," ask him/her the following question.)

"At this time, are you willing to discuss the offense(s) under investigation and make a statement without talking to a lawyer and without having a lawyer present with you?" (If the suspect/acused says "no," stop the interview and have him/her read and sign the non-waiver section of the waiver certificate on the other side of this form. If the suspect/acused says "yes," have him/her read and sign the waiver section of the waiver certificate on the other side of this form.)

SPECIAL INSTRUCTIONS

WHEN SUSPECT/ACUSED REFUSES TO SIGN WAIVER CERTIFICATE: If the suspect/acused orally waives his/her rights but refuses to sign the waiver certificate, you may proceed with the questioning. Make notations on the waiver certificate to the effect that he/she has stated that he/she understands his/her rights, does not want a lawyer, wants to discuss the offense(s) under investigation, and refuses to sign the waiver certificate.

IF WAIVER CERTIFICATE CANNOT BE COMPLETED IMMEDIATELY: In all cases the waiver certificate must be completed as soon as possible. Every effort should be made to complete the waiver certificate before any questioning begins. If the waiver certificate cannot be completed at once, as in the case of street interrogation, completion may be temporarily postponed. Notes should be kept on the circumstances.

PRIOR INCriminating STATEMENTS:

1. If the suspect/acused has made spontaneous incriminating statements before being properly advised of his/her rights he/she should be told that such statements do not obligate him/her to answer further questions.

2. If the suspect/acused was questioned as such either without being advised of his/her rights or some question exists as to the propriety of the first statement, the accused must be so advised. The office of the service Staff Judge Advocate should be contacted for assistance in drafting the proper rights advisement.

NOTE: If 1 or 2 applies, the fact that the suspect/acused was advised accordingly should be noted in the comment section on the waiver certificate and initialed by the suspect/acused.

WHEN SUSPECT/ACUSED DISPLAYS INDECISION ON EXERCISING HIS OR HER RIGHTS DURING THE INTERROGATION PROCESS: If during the interrogation, the suspect displays indecision about requesting counsel (for example, "Maybe I should get a lawyer."); further questioning must cease immediately. At that point, you may question the suspect/acused only concerning whether he or she desires to waive counsel. The questioning may not be utilized to discourage a suspect/acused from exercising his/her rights. (For example, do not make such comments as "If you didn't do anything wrong, you shouldn't need an attorney.")
**SWORN STATEMENT**

**PRIVACY ACT STATEMENT**

**AUTHORITY:** Title 10 USC Section 301; Title 5 USC Section 2851; E.O. 9397 dated November 22, 1943 /SSNI.

**PRINCIPAL PURPOSE:** To provide commanders and law enforcement officials with means by which information may be accurately disclosed to commanders and law enforcement officials.

**ROUTINE USES:** Disclosure of your social security number is used as an additional/alternate means of identification to facilitate filing and retrieval.

**DISCLOSURE:** Disclosure of your social security number is voluntary.

<table>
<thead>
<tr>
<th>1. LOCATION</th>
<th>2. DATE YYYYMMDD</th>
<th>3. TIME</th>
<th>4. FILE NUMBER</th>
</tr>
</thead>
<tbody>
<tr>
<td>[Redacted]</td>
<td>20040101</td>
<td>1500</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>5. LAST NAME, FIRST NAME, MIDDLE NAME</th>
<th>6. SSN</th>
<th>7. GRADE/STATUS</th>
</tr>
</thead>
<tbody>
<tr>
<td>[Redacted]</td>
<td>[Redacted]</td>
<td>ES/Team Leader</td>
</tr>
</tbody>
</table>

8. ORGANIZATION OR ADDRESS:

Bea 2-2271 WF 181st Airmobile (445267) Div

---

I want to make the following statement under oath:

On the date of 01/01/2004 at 1500, 2nd Plt. At moved into a flanking position to disrupt a crowd of angry protesters who were throwing rocks and burning tires and cars in the street. We arrived at location [redacted] and set up security in the area. About 30 min after arriving at that location I witnessed a white four door car traveling south at a moderate speed. At that time I fired at the car from entering our area. The driver of the vehicle ignored the crowd and turned down the street heading towards our location. At that time everyone at our location began to shout stop in both Arabic and Kurdish. The car continued towards our location. We shouted our weapons and continued towards our position. At that time I fired at the car and fired the rest of our element began to fire. [Redacted] and [Redacted] then gave a cease fire command. After the cease fire we moved to the car and searched it. After finding nothing we began to sieze and on the drivers in the car. During that time we began to sieze the crowd. [Redacted] and [Redacted] brought the two casualties into our secured area and began to treat them. After waiting for an ambulance that never came we made the call to transport the two casualties to Kirkuk General Hospital and then continued the mission.

---

10. EXHIBIT

11. INITIALS OF PERSON MAKING STATEMENT

PAGE 1 OF _______ PAGES

ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT ______ TAKEN AT ______ DATED ______"

THE BOTTOM OF EACH ADDITIONAL PAGE MUST BE THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.
EX6

Rahim Aun

- fired first shot 10-15
- throwing rocks/stone - free
- yes - N to S
- crowd tried to stop
- passed gun line - shot dead in back/behind
- first ed engine
- "second in line - firing for 5-10s"
- started 1st aid 2min - EX6 on the other 2
- loaded up in Humvee
- flanking position
- guy driving car - EX6
- shots fired - my - 10-15
- 240 2 45
- crowd shot - showed up 3 - waved hands
- first weapon shot into engine
- "second edieren"
- - E-5 - E7 overwaking
- 41 was brought to secure
- - seeking chest - HIA on route
- see u - entry wound back in ribs
RIGHTS WARNING PROCEDURE/ WAIVER CERTIFICATE
For use of this form, see AR 190-30; the proponent agency is OLOGOPS

DATA REQUIRED BY THE PRIVACY ACT

AUTHORITY: Title 10, United States Code, Section 3012(g)
PRINCIPAL PURPOSE: To provide commanders and law enforcement officials with means by which information may be accurately identified.
ROUTINE USES: Your Social Security Number is used as an additional/alternate means of identification to facilitate filing and retrieval.
DISCLOSURE: Disclosure of your Social Security Number is voluntary.

1. LOCATION [ ]
   [ ]
   [ ]
   [ ]

2. DATE

3. TIME

4. FILE NO.

5. NAME (Last, First, MI)

6. SSN
   356 88 4864

7. GRADE/STATUS
   E-4

PART I - RIGHTS WAIVER/ NON-WAIVER CERTIFICATE

Section A. Rights

The investigator whose name appears below told me that he/she is with the United States Army [HH6 2-327] and wanted to question me about the following offense[s] of which I am suspected/accused: [EOF, Cohen, Dave, Brett]

Before he/she asked me any questions about the offense[s], however, he/she made it clear to me that I have the following rights:

- I do not have to answer any question or say anything,
- Anything I say or do can be used as evidence against me in a criminal trial,

For personnel subject to the UCMJ I have the right to talk privately to a lawyer before, during, and after questioning and to have a lawyer present with me during questioning. This lawyer can be a civilian lawyer I arrange for at no expense to the Government or a military lawyer detailed for me at no expense to me, or both.

- Or -

For civilians not subject to the UCMJ I have the right to talk privately to a lawyer before, during, and after questioning and to have a lawyer present with me during questioning. I understand that this lawyer can be one that I arrange for at my own expense, or if I cannot afford a lawyer and want one, a lawyer will be appointed for me before any questioning begins.

I am now willing to discuss the offense[s] under investigation, with or without a lawyer present, I have a right to stop answering questions at any time, or speak privately with a lawyer before answering further, even if I sign the waiver below.

5. COMMENTS (Continue on reverse side)

Section B. Waiver

I understand my rights as stated above. I am now willing to discuss the offense[s] under investigation and make a statement without talking to a lawyer first and without having a lawyer present with me.

WITNESSES (If available)

1a. NAME (Type or Print)

b. ORGANIZATION OR ADDRESS AND PHONE
   B Co 2-327 BN 101 ARN DIV

2a. NAME (Type or Print)

b. ORGANIZATION OR ADDRESS AND PHONE
   HH6 2-327 BN

Section C. Non-waiver

1. I do not want to give up my rights
   [ ] I want a lawyer
   [ ] I do not want to be questioned or say anything

2. SIGNATURE OF INTERVIEWEE

ATTACH THIS WAIVER CERTIFICATE TO ANY SWORN STATEMENT (DA FORM 2823) SUBSEQUENTLY EXECUTED BY THE SUSPECT/ACCUSED

DA FORM 3881, NOV 89  EDITION OF NOV 84 IS OBSOLETE

12296
PART II - RIGHTS WARNING PROCEDURE

THE WARNING

1. WARNING - Inform the suspect/accused of:
   a. Your official position.
   b. Nature of offense(s).
   c. The fact that he/she is a suspect/accused.

2. RIGHTS - Advise the suspect/accused of his/her rights as follows:
   "Before I ask you any questions, you must understand your rights."
   a. "You do not have to answer my questions or say anything."
   b. "Anything you say or do can be used as evidence against you in a criminal trial."
   c. (For personal subject to the UCMJ) "You have the right to talk privately to a lawyer before, during, and after questioning and to have a lawyer present with you during questioning. This lawyer can be anyone you arrange for at no expense to the Government or a military lawyer detailed for you at no expense to you, or both."
      or
      (For civilians not subject to the UCMJ) "You have the right to talk privately to a lawyer before, during, and after questioning and to have a lawyer present with you during questioning. This lawyer can be one you arrange for at your own expense, or if you cannot afford a lawyer and want one, a lawyer will be appointed for you before any questioning begins."
   d. "If you are now willing to discuss the offense(s) under investigation, with or without a lawyer present, you have a right to stop answering questions at any time, or speak privately with a lawyer before answering further, even if you sign a waiver certificate."

   Make certain the suspect/accused fully understands his/her rights.

THE WAIVER

"Do you understand your rights?"
(If the suspect/accused says "no," determine what is not understood, and if necessary repeat the appropriate rights advisement. If the suspect/accused says "yes," ask the following question.)

"Have you ever requested a lawyer after being read your rights?"
(If the suspect/accused says "yes," find out when and where. If the request was recent i.e., fewer than 30 days ago, obtain legal advice whether to continue the interrogation. If the suspect/accused says "no," or if the prior request was not recent, ask him/her the following question.)

SPECIAL INSTRUCTIONS

WHEN SUSPECT/ACCUSED REFUSES TO SIGN WAIVER CERTIFICATE: If the suspect/accused orally waives his/her rights but refuses to sign the waiver certificate, you may proceed with the questioning. Make notations on the waiver certificate to the effect that he/she has stated that he/she understands his/her rights, does not want a lawyer, wants to discuss the offense(s) under investigation, and refuses to sign the waiver certificate.

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1. If the suspect/accused has made spontaneous incriminating statements before being properly advised of his/her rights he/she should be told that such statements do not obligate him/her to answer further questions.

2. If this suspect/accused was questioned as such either without being advised of his/her rights or some question assists as to the propriety of the first statement, the accused must be so advised. The office of the serving Staff Judge Advocate should be contacted for assistance in drafting the proper rights advisement.

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We came to a halt in an alley. I dismounted and picked up security watching the main street ahead of our position. I noticed there were civilians to my right inside a multi-story building. Shortly after, we began to get hit by large rocks being thrown at us from the main street. As I was going for cover, I got hit in the back by one of the rocks. The people inside the building to my right began to yell and wanted to investigate. There were over 20 civilians on the second and third floors of the building and went upstairs to the roof to investigate, they sent everyone down and out of the building. The only ones left on the first floor guarding the entrance looking out the main street. I could hear rounds bouncing off the ground in front of the door. I left to assess something talk at the vehicles and I was left in the door way guarding the street. As I was standing there, I saw a white care street to turn into the alley that our vehicles were packed in. It was close enough to me that I saw one person inside. It was moving at a moderate speed. I immediately held out my arm and yelled out "Stop" and "Chief" loudly. The driver proceeded to do that several times. The driver connected eyes with me so I was sure he saw me. The other US soldiers to my left around the corner also began yelling loudly.
The driver proceeded forward into the packed hurnees. He did not slow down or seem to react to the presence of our troops. The visual attempts to stop him, the yelling and the raised weapons pointed at him. As he kept coming at us I made the decision to open fire at the vehicle. I had a clear shot at the driver side of the vehicle. I was the only one on that side. Before I could pull the trigger to shoot at the face and arm, one of the M240 mounted on the truck directly ahead of the morning we opened fire. After I opened fire and heard several more rounds from the open firing on the vehicle. Even after the initial burst the vehicle kept coming. I fired 4 rounds before I thought I heard a score time being called out. I put my weapon on safe and watched the driver for a few seconds before our troops ran up to check the vital signs of the driver. After a quick inspection of the vehicle it was determined that the driver was still alive. I assisted in pulling the driver out and laying him on the ground. and [redacted] began working on determining the extent of the injuries. The driver was still alive but barely. I worked to cut his clothes off and try to control bleeding and stabilize the man. After about 15 min of attempts to stabilize the man died. I checked his pulse and breathing personally. At this point we attempted to give CPR. I pumped the heart with [redacted] and [redacted] were through a tube. After a few minutes of that, it was determined that the man was gone. We found blankets to cover the body. I then went to the other two victims and helped load them into a hurnee which I took in the way to a hospital. One man was DOA and the other survived. They were both hit on the other side of the street. From the hospital we went to a gas station to provide security for five trucks trying to put out the fire.
(NOT HIS SQD) 

EXG
- get to alley, quite calm
- right of humans making suck-er

EXG
building
- full story, with caution

EXG
- Days in bed, told to leave
- heard sounds going
- crowd wanted to stop car turned into alley
- CF yelled to stop, wound of him
- held arm out
- driver look @ "memories" look @
- saw him holding CF- driver made no
- intention to stop

EXG
- had up, up, decided shot (when then
- engine)- everyone fired @ once
- car rolled for about
- day was obviously hurt
- CF- rolled up on
- put driver on side of wall
- 10-15 bullet wounds- put think B-? or
- he could find
- Do not mix food - separate - wash hands
- Place food on plate - cover
- Everyone in SC party
- Shot from side of wall
- PK, from Bly - place BP in e
cut
- In our cellar
- Gs - everyone in SC party
- He did not fire for long 2-3 seconds
- Cause was a blockhead after death
- Doesn't know why fired - couldn't see them
- Moved to Butt - in Huroners
- Broke by 7 pm - he fired 3 shots
- Grew on him - one of the KiAs
- Broken bones - crushed spine - broken neck - still slightly
- Broken bones - crushed spine - broken
**PART I - RIGHTS WAIVER/NON-WAIVER CERTIFICATE**

### Section A. Rights

The Investigator whose name appears below told me that he/she is with the United States Army **HHC, 2-327** and wanted to question me about the following offense(s) of which I am suspected/accused: **[REDACTED]**

Before being asked any questions about the offenses(s), however, he/she made it clear to me that I have the following rights:

- **I do not have to answer any question or say anything.**
- **I have the right to talk privately to a lawyer before, during, and after questioning and to have a lawyer present with me during questioning. This lawyer can be a civilian lawyer I arrange for at no expense to the Government or a military lawyer detailed for me at no expense to me, or both.**

(For civilians not subject to the UCMA) I have the right to talk privately to a lawyer before, during, and after questioning and to have a lawyer present with me during questioning. I understand that this lawyer can be one that I arrange for at my own expense, or if I cannot afford a lawyer and want one, a lawyer will be appointed for me before any questioning begins.

I am now willing to discuss the offense(s) under investigation, with or without a lawyer present, I have a right to stop answering questions at any time, or seek privately with a lawyer before answering further, even if I sign the waiver below.

### Section B. Waiver

I understand my rights as stated above. I am now willing to discuss the offense(s) under investigation and make a statement without talking to a lawyer first and without having a lawyer present with me.

#### WITNESSES (if available)

1. **NAME (Type or Print)**
   - [REDACTED]

2. **ORGANIZATION OR ADDRESS AND PHONE**
   - **Bco 2-327 INF 101st Abn Div**

3. **SIGNATURE OF INTERVIEWEE**
   - [REDACTED]

4. **SIGNATURE OF INVESTIGATOR**
   - [REDACTED]

5. **TYPE NAME OF INVESTIGATOR**
   - [REDACTED]

6. **ORGANIZATION OF INVESTIGATOR**
   - **HHC, 2-327**

### Section C. Non-waiver

1. **I do not want to give up my rights**
   - ☐ I want to be questioned or say anything
   - ☐ I want a lawyer

2. **SIGNATURE OF INTERVIEWEE**
   - [REDACTED]
PART II · RIGHTS WARNING PROCEDURE

THE WARNING

1. WARNING - Inform the suspect/accused of:
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"Do you want a lawyer at this time?"
(If the suspect/accused says "yes," stop the questioning until he/she has a lawyer. If the suspect/accused says "no," ask him/her the following question.)

"At this time, are you willing to discuss the offense(s) under investigation and make a statement without talking to a lawyer and without having a lawyer present with you?" (If the suspect/accused says "no," stop the interview and have him/her read and sign the non-waiver section of the waiver certificate on the reverse side of this form, if the suspect/accused says "yes," have him/her read and sign the waiver section of the waiver certificate on the other side of this form.)

SPECIAL INSTRUCTIONS

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COMMENTS (Continued)

REVERSE OF DA FORM 3881