NOTHING FOLLOWS

1. I, ________, have read or have had read to me this statement which begins on page 1, and ends on page 2. I fully understand the contents of the entire statement made by me. The statement is true. I have initialed all corrections and have initialed the bottom of each page containing the statement. I have made this statement freely without hope of benefit or reward, without threat of punishment, and without coercion, unlawful influence, or unlawful inducement.

[Signature of Person Making Statement]

WITNESSES:

[Names of Witnesses]

ORGANIZATION OR ADDRESS

[Organization or Address]

(Initials of Person Administering Oaths)

Organization or Address

(Initials of Person Administering Oaths)

INITIALS OF PERSON MAKING STATEMENT

DAD

PAGE 2 OF 2 PAGES

PAGE 3, DA FORM 2823, DEC 1998

12828
**SWORN STATEMENT**

For use of this form, see AR 190-48; the proponent agency is ODCSOPS

**PRIVACY ACT STATEMENT**

**AUTHORITY:** Title 10 USC Section 301; Title 5 USC Section 2951; E.O. 9397 dated November 22, 1943 (SSN).

**PRINCIPAL PURPOSE:** To provide commanders and law enforcement officials with means by which information may be accurately 

**ROUTINE USES:** Your social security number is used as an additional/alternate means of identification to facilitate filing and retrieval.

**DISCLOSURE:** Disclosure of your social security number is voluntary.

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<td>HUB 4/220th FAQ For Loyalty</td>
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</table>

9. I, WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

CRF team received a mission about a kidnapped girl by ARF.

Sp the gate a 2100 took dikes to wild, increased SSN 

got stuck in truck, moved the rest of the way dismounted.

Two stack teams hit objective C, my stack team proceeded 
on to Alpha, moved through the fields, crossed under 
wire 1 guys at pool hall came running out, one Iraqi grabbed 

\[ 
\text{hidden text} \]

\[ \text{hidden text} \]

\[ \text{hidden text} \]

\[ \text{hidden text} \]

Lost site of the maner got the call then was a wounded 
Iraqi, I called it up to higher, medic was brought down 
then my stack team went on with the mission. &

10. EXHIBIT | 11. INITIALS OF PERSON MAKING STATEMENT | PAGE 1 OF 1 PAGES
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ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT TAKEN AT DATED"

THE BOTTOM OF EACH ADDITIONAL PAGE MUST BE INDICATED.
References:

a. (U) USCENTCOM GENADMIN, 121917Z MAR 03, OIF ROE Serial One ISO Military Operations Against Iraq.
b. (U) CJCSI 3121.01B, Standing Rules Of Engagement (SROE), 11 NOV 05.
c. (U) USCENTCOM GENADMIN 081600ZNOV95 USCENTCOM Annex To Ref B (CJCSJ 3121.01A) USCENTCOM Theater-Specific ROE.
d. (U) CJCSM 3160.01A, Joint Methodology for Estimating Collateral Damage, 30 DEC 05.
e. (U) USCENTCOM GENADMIN 241908Z JAN 04, SUBJECT: Additional Targeting Guidance for Declared Hostile Forces in Iraq.
f. (U) USCENTCOM MEMORANDUM, Response to Request for ROE Additional Targeting Guidance (Iraq), 23 FEB 04.
g. (U) MSG/CDR USCENTCOM/172012ZMAR03//Paramilitary Forces.
h. (U) MSG/CDR USCENTCOM/221726ZMAR03//AMPN/ CFC FRAGO 09-021 SROE for Iraqi Property.
i. (U) MSG/CDR USCENTCOM/130918ZAPR03//AMPN/CFC FRAGO 09-111 Designating Personnel and Iraqi Property for Protection.
j. (U) EXECUTIVE ORDER 11850.
k. (U) ANNEX E (ROE) TO V (US) CORPS 1003V [V CORPS ROE], 12 MAR 03.
l. (U) FRAGO 328M [Transition to Stabilility Operations in Baghdad Secured Zones] to OPORD 0303-343.
m. (U) FRAGO 400M [Restoration of Order and Areas Under Effective Control of Coalition Forces] to OPORD FINAL VICTORY.

o. (U) FRAGO 198M [Maintenance of Law and Order In Areas Under Effective Control of Coalition Forces] to OPORD FINAL VICTORY.
p. (U) FRAGO 290 [Border Interdiction Operations] to CJTF-7 OPORD 03-036.
q. (U) FRAGO 767 [Border Operations] to CJTF-7 OPORD 03-036.
r. (U) FRAGO 865 [ROE Clarification] to CJTF-7 OPORD 03-036.
s. (U) FRAGO 930 [Rules of Engagement] to CJTF-7 OPORD 03-036.
t. (U) FRAGO 1017 [26 OCT03 DTU] to CJTF-7 OPORD 03-036.
u. (U) FRAGO 1150 [Use of Lethal and Non-Lethal Forces in Coalition Detention Facilities] to CJTF-7 OPORD 03-036.
w. (U) FRAGO 1154 [Targeting Structures: Approval Authorities Under OPLAN 1003V ROE] to CJTF-7 OPORD 03-036.
x. (U) FRAGO 1281 [MOD TO FRAGO 1154 Targeting Structures] to CJTF-7 OPORD 03-036.
y. (U) FRAGO 200 [ROE Clarification] to CJTF-7 OPORD 04-01.
z. (U) FRAGO 507 MOD 1 TO FRAGO 200 [ROE Clarification for Convoys and Tactical Control Points (TCP)] to CJTF-7 OPORD 04-01.


bb. (U) FRAGO 587 [RESOLUE SWORD] to CJTF-7 OPORD 04-01.
1. (U) SITUATION. This Annex represents the consolidation of all ROE Serials, FRAGOs, and directives pertinent to Operation Iraqi Freedom. This information is critical to commanders and staff sections within MND-B. The Rules of Engagement will inform leaders and soldiers of their authorities and responsibilities and must be incorporated into every training scenario. These Rules of Engagement apply to MND-B military operations within the international boundaries of Iraq and to those Iraqi Army units TACON to MND-B until an Iraqi ROE is developed.

2. (U) MISSION. No change.
3. (U) EXECUTION. Change.

a. (U) GENERAL GUIDANCE

2) (U) MILITARY POLICY. Commanders have the inherent authority and obligation to use all necessary means available and to take all appropriate action in self-defense of their units and other US Forces and Coalition Forces and other designated protected persons and forces as defined in para. 3.g.4.

3) (U) At all times, the requirements of necessity and proportionality will form the basis of the judgment of the on-scene commander (OSC) or individual as to what constitutes an appropriate response to self-defense to a particular hostile or threat of hostile intent.

4) (U) All personnel must ensure that, prior to any engagement, non-combatants and civilian structures are distinguished from proper military targets.

6) (U) Military operations will be conducted, in so far as possible, to ensure that incidental injury to civilians and collateral damage to civilian objects are minimized. Strikes on infrastructure, lines of communication and economic objects should, to the extent possible, disable and disrupt rather than destroy.

7) (U) Civilian structures, especially cultural and historic buildings, nonmilitary structures, civilian population centers, mosques and other religious places, hospitals and facilities displaying the red crescent or red cross, are protected structures and will not be attacked except when they are being used for military purposes. Targeting structures will be conducted in accordance with these ROE and the CDEM (Ref. D). US Forces will not utilize these protected structures for military purposes.

8) (U) The use of force to accomplish authorized missions will be necessary and proportional in order to comply with the law of armed conflict (LOAC). Proportional force is force that is reasonable in intensity, duration, and magnitude.

9) (U) These ROE give commanders the maximum flexibility to use the amount of force required to accomplish the mission. They represent all authority to use force that has been authorized by Commander MNF-I, CRUSCENTCOM, and SECDEF. A commander must consider the assigned mission, the current situation, higher commander’s intent and all other available guidance in determining the level of force required for mission accomplishment. The level of force employed should not exceed the force required to accomplish the assigned mission.
- (U) Religious, cultural, and historical institutions, structures, and terrain. Examples include mosques, churches, monuments, cemeteries, museums, libraries, and internationally recognized historical/cultural sites.

- (U) Diplomatic offices, foreign missions, and sovereign nonmilitary property of other nations within the area of operations. Examples include embassies, consulates, ambassadorial residences, and their maritime assets.

- (U) Nongovernmental Organizations (NGO) (such as International Red Cross/Crescent and United Nations relief organizations) property, equipment, and personnel.

- (U) Prisoner of war (POW) camps and concentrations and government detention facilities/prisons.

- (U) Medical facilities (both civilian and military) including hospitals, clinics, field hospitals, and medical training facilities.

- (U) Public education facilities including nonmilitary schools, colleges, universities, and institutes.

- (U) Civilian refugee camps and concentrations.

- (U) Facilities whose engagement may result in pollution that cannot be contained, to include contamination of standing water, streams, and rivers.

- (U) Dams or dikes whose engagement may result in the flooding of civilian areas.
i. (U) CANCELLED MEASURES. All previously issued MND-B FRAGOs regarding Operation Iraqi Freedom ROE are hereby rescinded.

j. (U) ADMINISTRATION AND LOGISTICS.

1) (U) All commanders will ensure their personnel are trained and familiar with the Law of Armed Conflict and with these ROE.

2) (U) If operationally required, subordinate commanders will promulgate additional ROE and/or amplified ROE guidance applicable to forces under their command and will submit them to CDRUSCENTCOM for review and/or approval via guidance contained in Ref B. Commanders will be instructed to ensure that modified or supplemental ROE:

   (a) (U) Remain consistent with the intent of these ROE.
   
   (b) (U) Result in more definitive guidance to subordinate commanders.
   
   (c) (U) Do not impair the commander's inherent right of self-defense.

4. (U) SERVICE SUPPORT. No change.
You may engage an individual if you are reasonably certain (PID) they committed/are committing a hostile act.

Hostile Act: an attack or use of force against US Forces, CF, ISF, US citizens, Iraqi civilians, and other designated persons and property.

It is also force used directly to preclude or impede the mission and/or duties of US Forces.

Proportional response requires that you only use deadly force if you are threatened with death or serious bodily injury.

Can engage someone who has committed a hostile act unless they surrender and no longer pose a threat...you can engage someone running away after a hostile act or display of hostile intent.
ESCALATION OF FORCE

- If time and circumstances permit, use graduated response measures:

  (1) **SHOUT** and/or **SIGNAL** Warnings
  (2) **SHOW** Weapon and Demonstrate Intent to Use It
  (3) **SHOVE** or Detain (Block Movement for Vehicles)
  (4) **SHOOT** a Non-Lethal Round, if available
  (5) **SHOOT** Warning Shot
  (6) **SHOOT** Disabling Shots (only at vehicles)
  (7) **SHOOT** to Kill

- **DO NOT HAVE TO GO THROUGH EACH STEP IF THE SITUATION DOES NOT SAFELY ALLOW!**

- Disabling shots are only for vehicles. Shoot people with intent to kill, not with intent to disable.
506th RCT Serious Incident Report

From: Commander, 506 RCT
To: 4th ID / Division Operation Center
Subject: SIR, EOF, 1 x LN KIA

1. Category: 3
2. Type of incident: 1 x LN KIA
3. DTG: 102321 JUL06
   A. DTG of Incident: 102245 JUL06
   B. DTG Unit was notified of incident: 102321 JUL06
   C. DTG received by DOC:

4. Location: [Redacted]

5. Personnel involved: 1
   A. Subject: [Redacted]
      1. Rank or grade: SPC
      2. Social Security Number: [Redacted]
      3. Race: White
      4. Sex: Male
      5. Age: 24
      6. Position: QRF riflemen
      7. Security clearance: Secret
      8. Unit and station of assignment: HHB/4-320th FA
      9. Duty status: Active
      10. Marital Status: Single

B. Summary of incident: On 102330 JUL06 HHB was conducting a hasty cordon and search of a house in which a suspected kidnapping victim was located. During execution of this operation, a TM from Palehorse was assaulting OBJ B1 when 3 x LN ran out of the target house, one LN attempted to grab the weapon of a QRF soldier. The Soldier pushed the LN away and told him to stop. The LN continued to run from the CF and SPC Innes fired 1 x 5.56mm warning shot. The LN continued to flee the scene and another member of the QRF fired 1 x 40mm (Non-lethal M203) round into the LN's lower abdomen. The LN continued to run and made his way into a dark alley where a large bus was parked. SPC Innes ordered the LN to stop moving and visually identified something metallic in the LN's hand. SPC Innes once again ordered the LN to stop when the LN raised his hand with the metallic object, SPC Innes felt imminent danger and fired 1 x 5.56mm into the LN's chest. Contact was called to the CDR and a medic was immediately dispatched to the scene to treat the LN for injuries.
506 RCT Serious Incident Report

Once the medic arrived, the area was searched for a weapon and only a metallic cell phone was found on the ground near the LN's hand. The medic continued to administer first-aid when at 102245JUL06 the LN was pronounced dead. The Ma'adain IP's will take possession of the body and the HHB CDR on the ground is attempting to locate family in the area.

6. Other Information: None
   A. Racial Involvement: None
   B. Alcohol Involvement: None
   C. Last Deployment to OIF/OEF (include start and end dates): NONE

7. Remarks: This SIR was generated from the initial Ground Commander’s SPOT Report. Upon completion of the mission 4-320 FA will take sworn statements from all personnel involved. 506 RCT JAG will assist in a Legal review. A 15-6 investigation will be conducted. A CERP condolence payment will be made to the NOK as soon as the NOK can be located.

8. Commander reporting: [Redacted]
9. Point of contact: [Redacted], BTRY Commander, HHB\4-320th FA
10. Report originated by: [Redacted], FA, AS3, HQ/4-320th FA
11. Released by: [Redacted], BTRY Commander, HHB\4-320th FA

12. Unit Notifications:
   A. Telephone
      Position   Name   Time   Instructions

   B. Email
      Position   Name   Time   Instructions

FOD / DOC NCO ONLY:
Current FOD & DOC NCOIC:
FOD / DOC NCO Actions:

FOD Notifications:
   A. Telephone
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SWEWN STATEMENT

For use of this form, see AR 190-45; the procuring agency is DCSOPS

PRIVACY ACT STATEMENT

AUTHORITY: Title 10 USC Section 331; Title 5 USC Section 2901; 5 D.O. 19327 dated November 22, 1943 (SSA).

PRINCIPAL PURPOSE: To provide commanders and law enforcement officials with means by which information may be accurately identified.

ROUTINE USES: Your social security number is used as an additional alternate means of identification to facilitate filing and retrieval.

DISCLOSURE: Disclosure of your social security number is voluntary.

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I WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

1. Did you see the LN grab and tug on SPC Law's weapon? If so please describe the incident:
   Yes, the LN grabbed my weapon with force as to take it from me. I had struggled to gain control of it once I did, the LN had fled from me.

2. Why do you think the LN grabbed at the weapon? What was your perception on why he did this?
   The LN's intent of grabbing my weapon was to use it. The LN was not trying to push the weapon away because he had to come up to me after ordering him to go to the direction of the other detainees.

3. Do you have any additional information you would like to add to your sworn statement?
   NA

10. EXHIBIT 1
11. INITIALS OF PERSON MAKING STATEMENT
    PAGE 1 OF __ PAGES

ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF _____ TAKEN AT _____ DATED _____

THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.
SWORN STATEMENT

When you see the LN grab and tug on weapon. If so please describe the incident.

Yes, he grab two weapons by the muzzle and started to try and get it from him, there was a struggle by law and LN, and we all started to go through the EOF.

2. Why do you think the LN grabbed and took it from him and use it on us? Maybe mad for us being there or trying to hide something he didn't want us to find.

3. Do you have any additional information you would like to add to your sworn statement? No.

---

9. **Header:** WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH.

10. **Exhibit:** 12

11. **Initials of person making statement:** 12

The bottom of each additional page must bear the initials of the person making the statement, and page number must be indicated.
## Sworn Statement

For use of this form, see AR 190-45; the proponent agency is CDDOPS

**PRIVACY ACT STATEMENT**

**AUTHORITY:** Title 10 USC Section 301; Title 5 USC Section 2681; F.D.O. 9387 dated November 22, 1843 (SSSU).

**PRINCIPAL PURPOSE:** To provide commanders and law enforcement officials with means by which information may be accurately identified.

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I WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

1. Did you see the LN grab and tug on [redacted] weapon. If so please describe the incident.

   **YES**. I CAME OUT OF THE ROOM AND SAW THE LN WITH BOTH HANDS ON LAW’S WEAPON. HE LOOKED TO BE PULLING IT TO GAIN CONTROL, BUT IT WAS SECURED WITH A SNAP LINK TO [redacted] KIT. HE LET GO AND THEN RAN DOWN THE ALLEY.

2. Why do you think the LN grabbed a [redacted] weapon? What was your perception on why he did this?

   I THINK HE GRABBED IT TO GAIN CONTROL OF IT. I SAW HIM PULLING AT THE MUZZLE, HE EITHER WANTED TO USE IT AGAINST US OR MAKE IT SO LAW COULDN'T USE IT.

3. Do you have any additional information you would like to add to your sworn statement?

   NO

   **NOTHING FOLLOWS**

---

**10. EXHIBIT**

13

**11. INITIALS OF PERSON MAKING STATEMENT**

[redacted]

**PAGE 1 OF 2**

ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF _______ TAKEN AT _______ DATED _______"

THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.
1. Did you see the LN grab and tug on a weapon. If so please describe the incident.
   Yes I saw the LN grab a weapon, when I was leaving the pool hall I saw a brief struggle between the LN and...

2. Why do you think the LN grabbed a weapon? What was your perception on why he did this?
   I didn't know exactly why the LN grabbed a weapon but after he started running my perception was that he had bad intentions and planned on continuing by possibly getting a different weapon.

3. Do you have any additional information you would like to add to your sworn statement?
   NOTHING FOLLOWS

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NOTHING FOLLOWS