REPORT OF PROCEEDINGS BY INVESTIGATING OFFICER/BOARD OF OFFICERS

For use of this form, see AR 16-6; the proponent agency is OTJAG.

IF MORE SPACE IS REQUIRED IN FILLING OUT ANY PORTION OF THIS FORM, ATTACH ADDITIONAL SHEETS

SECTION I - APPOINTMENT

Appointed by  

Brigade Commander, 3rd Brigade 1st Armored Division

(Appointing authority)

on 19 Sept 05  

(Date)

(Attach Inclosure 1: Letter of appointment or summary of oral appointment data.) (See para 3-15, AR 15-6.)

SECTION II - SESSIONS

The investigation commenced at Camp Taji, Iraq  

(Place)

at 1600  

(Time)

on 23 September 05  

(Date)

(If a formal board meet for more than one session, check here. Indicate in an inclosure the time each session began and ended, the place, persons present and absent, and explanation of absences, if any.) The following persons (members, respondents, counsel) were present: (After each name, indicate capacity, e.g., President, Recorder, Member, Legal Advisor.)

The following persons (members, respondents, counsel) were absent: (Include brief explanation of each absence.) (See paras 5-2 and 5-8a, AR 15-6.)

The investigating officer finished gathering/hearing evidence at 1500  

(Time)

and completed findings and recommendations at 2000  

(Time)

on 30 Oct 05  

(Date)

31 Oct 05  

(Date)

SECTION III - CHECKLIST FOR PROCEEDINGS

A. COMPLETE IN ALL CASES

<table>
<thead>
<tr>
<th>Inclosures (para 3-15, AR 15-6)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Are the following included and numbered consecutively with Roman numerals: (Attached in order listed)</td>
</tr>
<tr>
<td>a. The letter of appointment or a summary of oral appointment data?</td>
</tr>
<tr>
<td>b. Copy of notice to respondent, if any? (See item 9, below)</td>
</tr>
<tr>
<td>c. Other correspondence with respondent or counsel, if any?</td>
</tr>
<tr>
<td>d. All other written communications to or from the appointing authority?</td>
</tr>
<tr>
<td>e. Privacy Act Statements (Certificate, if statement provided orally)?</td>
</tr>
<tr>
<td>f. Explanation by the investigating officer or board of any unusual delays, difficulties, irregularities, or other problems encountered (e.g., absence of material witnesses)?</td>
</tr>
<tr>
<td>g. Information as to sessions of a formal board not included on page 1 of this report?</td>
</tr>
<tr>
<td>h. Any other significant papers (other than evidence) relating to administrative aspects of the investigation or board?</td>
</tr>
</tbody>
</table>

FOOTNOTES: If any negative answers on an attached sheet.

| 13635 |
2. Exhibits (para 3-16, AR 15-6)
   a. Are all items offered (whether or not received) or considered as evidence individually numbered or lettered as exhibits and attached to this report? X
   b. Is an index of all exhibits offered to or considered by investigating officer or board attached before the first exhibit? X
   c. Has the testimony/statement of each witness been recorded verbatim or been reduced to written form and attached as an exhibit? X
   d. Are copies, descriptions, or depictions (if substituted for real or documentary evidence) properly authenticated and is the location of the original evidence indicated? X
   e. Are descriptions or diagrams included of locations visited by the investigating officer or board (para 3-6a, AR 15-6)? X
   f. Is each written stipulation attached as an exhibit and is each oral stipulation either reduced to writing and made an exhibit or recorded in a verbatim record? X
   g. If official notice of any matter was taken over the objection of a respondent or counsel, is a statement of the matter of which official notice was taken attached as an exhibit (para 3-16d, AR 15-6)?

3. Was a quorum present when the board voted on findings and recommendations (para 4-1 and 5-2b, AR 15-6)?

B. COMPLETE ONLY FOR FORMAL BOARD PROCEEDINGS (Chapter 5, AR 15-6)

4. At the initial session, did the recorder read, or determine that all participants had read, the letter of appointment (para 5-3b, AR 15-6)?

5. Was a quorum present at every session of the board (para 5-2b, AR 15-6)?

6. Was each absence of any member properly excused (para 5-2a, AR 15-6)?

7. Were members, witnesses, reporter, and interpreter sworn, if required (para 3-4, AR 15-6)?

8. If any members who voted on findings or recommendations were not present when the board received some evidence, does the transcript describe how they familiarized themselves with that evidence (para 5-2d, AR 15-6)?

C. COMPLETE ONLY IF RESPONDENT WAS DESIGNATED (Section II, Chapter 5, AR 15-6)

9. Notice to respondents (para 5-5, AR 15-6):
   a. Is the method and date of delivery to the respondent indicated on each letter of notification? X
   b. Was the date of delivery at least five working days prior to the first session of the board? X
   c. Does each letter of notification indicate —
      (1) the date, hour, and place of the first session of the board concerning that respondent?
      (2) the matter to be investigated, including specific allegations against the respondent, if any?
      (3) the respondent’s rights with regard to counsel?
      (4) the name and address of each witness expected to be called by the recorder?
      (5) the respondent’s rights to be present, present evidence, and call witnesses?
   d. Was the respondent provided a copy of all unclassified documents in the case file?
   e. If there were relevant classified materials, were the respondent and his counsel given access and an opportunity to examine them?

10. If any respondent was designated after the proceedings began (or otherwise was absent during part of the proceedings):
    a. Was he properly notified (para 5-5, AR 15-6)?
    b. Was record of proceedings and evidence received in his absence made available for examination by him and his counsel (para 5-4c, AR 15-6)?

11. Counsel (para 5-6, AR 15-6):
    a. Was each respondent represented by counsel?
    
    Name and business address of counsel:
    (If counsel is a lawyer, check here [ ])

    b. Was respondent’s counsel present at all open sessions of the board relating to that respondent?

    c. If military counsel was requested but not made available, is a copy (or, if oral, a summary) of the request and the action taken on it included in the report (para 5-6b, AR 15-6)?

12. If the respondent challenged the legal advisor or any voting member for lack of impartiality (para 5-7, AR 15-6):
    a. Was the challenge properly denied by the appropriate officer?
    b. Did each member successfully challenged cease to participate in the proceedings?

13. Was the respondent given an opportunity to (para 5-8a, AR 15-6):
    a. Be present with his counsel at all open sessions of the board which deal with any matter which concerns that respondent?
    b. Examine and object to the introduction of real and documentary evidence, including written statements?
    c. Object to the testimony of witnesses and cross-examine witnesses other than his own?
    d. Call witnesses and otherwise introduce evidence?
    e. Testify as a witness?
    f. Make or have his counsel make a final statement or argument (para 5-8b, AR 15-6)?

14. If requested, did the recorder assist the respondent in obtaining evidence in possession of the Government and in arranging for the presence of witnesses (para 5-8c, AR 15-6)?

15. Are all of the respondent’s requests and objections which were denied indicated in the report of proceedings or in an transcript or exhibit to it (para 5-11, AR 15-6)?

FOOTNOTES:
1. Explain all negative answers on an attached sheet.
2. Use of the NO column constitutes a negative representation that the circumstances described in the question did not occur in this investigation or hearing.
SECTION IV - FINDINGS (para 3-10, AR 15-6)
The (investigating officer) (hereinafter) having carefully considered the evidence, finds:

See Attachment

SECTION V - RECOMMENDATIONS (para 3-11, AR 15-6)
In view of the above findings, the (investigating officer) (hereinafter) recommends:

See Attachment
SECTION VI - AUTHENTICATION (para 3-17, AR 15-6)

THIS REPORT OF PROCEEDINGS IS COMPLETE AND ACCURATE. (If any voting member or the recorder fails to sign here or in Section VII below, indicate the reason in the space where his signature should appear.)

(Recorder)

(Investigating Officer) (President)

(Member)

(Member)

(Member)

SECTION VII - MINORITY REPORT (para 3-13, AR 15-6)

To the extent indicated in Inclosure [redacted] the undersigned do(es) not concur in the findings and recommendations of the board.

(In the inclosure, identify by number each finding and/or recommendation in which the dissenting member(s) do(es) not concur. State the reasons for disagreement. Additional/substitute findings and/or recommendations may be included in the inclosure.)

(Member)

(Member)

SECTION VIII - ACTION BY APPOINTING AUTHORITY (para 3-3, AR 15-6)

The findings and recommendations of the (investigating officer) (board) are [redacted] (disapproved) approved with following exceptions/substitutions. (If the appointing authority returns the proceedings to the investigating officer or board for further proceedings or corrective action, attach that correspondence (or a summary, if oral) as a numbered inclosure.)

[redacted]

[redacted]

[redacted]
The investigating officer, having carefully considered the evidence, finds:

On or about 181115SEP05, an element from Bravo Company 2-70th Armor was conducting a patrol north on Route Vernon about to merge onto MSR Tampa north. The patrol consisted of three tracked vehicles, order of march M1A1, M113, M1A1 led by 2LT ____. The second vehicle in the patrol, an M113, suffered a mechanical failure that caused the track to turn sharply to the left, crash through a guardrail and fall off the ramp and onto its side some 15 feet below the onramp (Exhibits B & C).

The two tanks immediately set up security and 2LT ____ dismounted to check on the personnel in the M113 (Exhibit C). A crowd began to gather about 150 meters to the east of the crash site. 

The crowd moved back and soon after he moved back to his tank. The crowd again stopped encroaching on the secure area and dispersed. At this point 2LT ____ dismounted and left his gunner, CPL ____, in charge of the tank and northern security. The warning shot was to get the attention of a car that was speeding toward the southern security tank. Once additional security was provided and the remainder of his patrol returned to base.

2LT ____ and his patrol of three M114s assisted 2LT ____ in providing security until 2LT ____ was sent back to base. 2LT ____ remained on site and provided security during the recovery operations. Approximately an hour and a half after his patrols arrival on site he was notified by his Platoon Sergeant that some local nationals were saying that their 14 year old son had been shot by Americans (Exhibit F). 2LT ____ contacted this Battalion Executive Officer to inform him of the allegation. He and MAJ ____ went to the site where the young man was shot. The victim had already been transported to the hospital. MAJ ____ told 2LT ____ to go to the hospital with the father to identify the body. At the hospital 2LT ____ identified the body with his ID card and did observe a hole in the side of his chest. 2LT ____ then returned to base.

The location where the young man was shot was approximately 300-350 meters away from the closest security element and in a direction that would be virtually impossible for a ricochet and hit him (Exhibit C). Additionally, it was impossible to determine the type of weapon that caused the fatal shot to the victim,
however it was evident that it was a gunshot wound. I do not believe that the young man was killed by a bullet from any of the warning shots. Both 2LT and CPL utilized proper EOF procedures and fired their shots in a direction and location that was appropriate to let the crowd know their intentions and be a safe.

In view of the above findings, the investigating officer recommends:

No further action required.
MEMORANDUM FOR MAJ [redacted], Headquarters, 4th Battalion, 1st Field Artillery, Camp Taji, Iraq 09378

SUBJECT: Appointment as Investigating Officer

1. Pursuant to AR 15-6, paragraph 2-1, you are hereby appointed as an investigating officer to investigate the use of deadly force by 2nd Platoon, B Company, 2nd Battalion, 70th Armor Regiment that allegedly caused the death of a local national.

2. In your investigation, use procedures under AR 15-6, Chapter 4, for an informal board of officers. All witness statements will be sworn, and you will follow the procedures in AR 15-6, paragraph 3-7e for Privacy Act statements. If the person you are interviewing states that he/she does not know anything about the allegations, prepare a sworn statement to that affect for the witness to sign. If, in the course of your investigation, you suspect a soldier has committed an offense under the UCMJ, you must advise and read him/her their rights under the UCMJ, Article 31, or the Fifth Amendment, as appropriate, prior to conducting any interview.
Warning shot #1 by 2LT

Crowd about 100-150m north east

Berm #1

Approx 40m

North

Firing Point

Exhibit D
Victim was shot approx 250-300m north

Berm #2

Approx 75-100m

North
Location where the victim was shot
Approx 300-350m north of the northern security tank

View North from where the northern security tank was located
COMMANDER'S INCIDENT REPORT:

LINE 1: Unit reporting: 1-118th FA

LINE 2: Incident: M113 Accident and Warning Shots

LINE 3: Date/time group (DTG) incident occurred: 181115SEP05

LINE 4: Location of incident: CP54A

LINE 5: Personnel involved

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LINE 6: SUMMARY: B-77 (M113), B/2-70th AR, was the second vehicle in the White 1 patrol traveling north on the Vernon on ramp to MSR Tampa. Initial indications are that the left track of the M113 locked and caused the vehicle to make a sharp left turn. That resulted in the M113 flipping over the guardrail. It fell approximately 15-20 feet. Medevac was called in and wheels were up 1137hrs. The 3 soldiers injured were initially reported as being LUI, but are currently stable at the 88 CSF (Ressdorf, Whit, Welch). Immediately after the accident the M1's secured the overpass north and south of rollover site on RTE Vernon and the Steel 36 patrol (3xM1114) secured MSR Tampa. Several groups of LN's and a LN vehicle stopped and turned around. The White 1 element stayed on site for 1 hour and none of the LN's reported any injuries. Approximately 90 minutes after the accident a group of LN's approached Steel 36 at their outer cordon position and informed him that a 14 yr old boy was shot by a tank. At this time White 1 had already been relieved and was enroute to Tajj. Steel 36 and Cobra 5 went to site where the LN's claimed the 14 yr old was shot VIC MB 355/955 and found no evidence of a shooting. A LN at the site gave Steel 36 a photo ID of the boy. He then went to the Khadimya Hospital and confirmed that the child in question died of wounds (DOW) at the
hospital. The Steel 35 element said that the child had a gunshot wound in the chest. We are continuing to investigate the circumstances of this incident to confirm or deny any connection of the LN death and the warning shots.

LINE 7: Injuries or damage to government and/or civilian property: M113 damaged and recovered to Taji.

LINE 8: Commander reporting: LTC [redacted]

COL, AR
Commanding
**SWORN STATEMENT**
For use of this form, see AR 190-48; the proponent agency is ODCSOPS

**PRIVACY ACT STATEMENT**

**AUTHORITY:**
Title 10 USC Section 301; Title 5 USC Section 2961; E.O. 9397 dated November 22, 1943 (SSN).

**PRINCIPAL PURPOSE:**
To provide commanders and law enforcement officials with means by which information may be accurately

**ROUTINE USES:**
Your social security number is used as an additional/alternate means of identification to facilitate filing and retrieval.

**DISCLOSURE:**
Disclosure of your social security number is voluntary.

<table>
<thead>
<tr>
<th>1. LOCATION</th>
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<th>3. TIME</th>
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<td>20051002</td>
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<th>8. ORGANIZATION OR ADDRESS</th>
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</thead>
<tbody>
<tr>
<td>Co 2-3BCT 380th Infantry</td>
</tr>
</tbody>
</table>

9. **WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:**

On 16 Sep 05 my patrol was tasked with securing MSR Tampa. While responding to TCP 14 the M113 fell off the overpass of MSR Vermen.

I fired at a berm southeast of the area where the M113 site was.

Large crowds approached our area and we needed to maintain security of the crash site.

**EXHIBIT***

---

**ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT" TAKEN AT DATE DATED**

**THE BOTTOM OF EACH ADDITIONAL PAGE MUST BE INITIALLED BY THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INCLUDED.**

DA FORM 2823, DEC 1998

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**DA FORM 2823, JUL 72, IS OBSOLETE**

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13649

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Exhibit H
NOTHING FOLLOWS

AFFIDAVIT

I, __________________________, have read or have had read to me this statement which begins on page 1, and ends on page _______. I fully understand the contents of the entire statement made by me. The statement is true. I have initialed all corrections and have initialed the bottom of each page containing the statement. I have made this statement freely without hope of benefit or reward, without threat of punishment, and without coercion, unlawful influence, or unlawful inducement.

(Signature of Person Making Statement)

WITNESSES:

__________________________________________

__________________________________________

__________________________________________

__________________________________________

ORGANIZATION OR ADDRESS

__________________________________________

__________________________________________

__________________________________________

__________________________________________

ORGANIZATION OR ADDRESS

__________________________________________

__________________________________________

__________________________________________

__________________________________________

INITIALS OF PERSON MAKING STATEMENT

__________________________________________

PAGE 2 OF 2 PAGES

PAGE 3, DA FORM 2823, DEC 1998
SWORN STATEMENT
For use of this form, see AR 190-45; the proponent agency is ODCSOPS

PRIVACY ACT STATEMENT
AUTHORITY: Title 10 USC Section 301; Title 5 USC Section 2951; E.O. 9397 dated November 22, 1943 (ISSN).
PRINCIPAL PURPOSE: To provide commanders and law enforcement officials with means by which information may be accurately
ROUTINE USES: Your social security number is used as an additional/alternate means of identification to facilitate filing and retrieval.
DISCLOSURE: Disclosure of your social security number is voluntary.

1. LOCATION  
   Camp Taii

2. DATE (YYYYMMDD)  
   20050902

3. TIME  
   1440

4. FILE NUMBER

5. PERSON'S FIRST NAME, MIDDLE NAME

6. SSN

7. GRADE/STATUS  
   E-4/LC

8. ORGANIZATION OR ADDRESS  
   Bco 429th AR 38CT 1AD

9. WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

   On 18 Sept 05 we had mos 2 Temps & AR Verson Cordoned off to provide
security for the accident involving the mips. crowds went getting to observe the
accident and are prejudiced.

10. EXHIBIT

11. INITIALS OF PERSON MAKING STATEMENT

PAGE 1 OF 1 PAGES

ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT _______ TAKEN AT ______ DATED ______

THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEитель THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER
MUST BE INDICATED.
SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

<table>
<thead>
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<td>PRINCIPAL PURPOSE: To provide commanders and law enforcement officials with means by which information may be accurately</td>
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WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

AT APPROXIMATELY 13h30 I WAS ON THE BASE IN IRAQ. I WAS ASSIGNED TO THE SECURITY DETACHMENT FOR A TRAFFIC ACCIDENT IN INVOLVING A MK13 (BUNNY-7). WHILE CONDUCTING MY DUTIES AN UNIDENTIFIED IRAQI CIVILIAN MADE THE STATEMENT AT 1100 ON THIS DATE HIS (BABY) WAS WOUNDED BY US FORCES RIDING ON A M1 HUMVEE IN THE CLOSE URBANITY OF THE INCIDENT SCENE. WHILE HEARING THIS STATEMENT I IMMEDIATELY NOTIFIED MY PLT COMMANDER, CT (CRIPPLE) CIVILIAN MADE THE STATEMENT AND THE INVESTIGATION.